



## Certain subclasses of analytic and bi-univalent functions

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### ABSTRACT

In the present paper, we introduce and investigate two interesting subclasses of normalized analytic and univalent functions in the open unit disk

$$\mathbb{U} := \{z : z \in \mathbb{C} \text{ and } |z| < 1\},$$

whose inverse has univalently analytic continuation to  $\mathbb{U}$ . Among other results, bounds for the Taylor–Maclaurin coefficients  $|a_2|$  and  $|a_3|$  are found in our investigation.

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### 1. Introduction and definitions

Let  $\mathcal{A}$  denote the class of functions  $f(z)$  normalized by the following Taylor–Maclaurin series:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbb{U}), \quad (1.1)$$

which are analytic in the open unit disk

$$\mathbb{U} := \{z : z \in \mathbb{C} \text{ and } |z| < 1\},$$

$\mathbb{C}$  being, as usual, the set of complex numbers. Also let  $\mathcal{S}$  denote the subclass of functions in  $\mathcal{A}$  which are univalent in  $\mathbb{U}$  (for details, see [1]; see also the recent works [2,3]).

Some of the important and well-investigated subclasses of the univalent function class  $\mathcal{S}$  include (for example) the class  $\mathcal{S}^*(\kappa)$  of starlike functions of order  $\kappa$  in  $\mathbb{U}$  and the class  $\mathcal{K}(\kappa)$  of convex functions of order  $\kappa$  in  $\mathbb{U}$ . By definition, we have

$$\mathcal{S}^*(\kappa) := \left\{ f : f \in \mathcal{S} \text{ and } \Re \left( \frac{zf'(z)}{f(z)} \right) > \kappa \quad (z \in \mathbb{U}; 0 \leq \kappa < 1) \right\} \quad (1.2)$$

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and

$$\mathcal{K}(\kappa) := \left\{ f : f \in \mathcal{S} \text{ and } \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \kappa \quad (z \in \mathbb{U}; 0 \leq \kappa < 1) \right\}. \quad (1.3)$$

It readily follows from the definitions (1.2) and (1.3) that

$$f(z) \in \mathcal{K}(\kappa) \iff zf'(z) \in \mathcal{S}^*(\kappa). \quad (1.4)$$

It is well known that every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{U})$$

and

$$f(f^{-1}(w)) = w \quad \left( |w| < r_0(f); r_0(f) \geq \frac{1}{4} \right).$$

In fact, the inverse function  $f^{-1}$  is given by

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots. \quad (1.5)$$

A function  $f \in \mathcal{A}$  is said to be *bi-univalent* in  $\mathbb{U}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathbb{U}$ .

Let  $\Sigma$  denote the class of bi-univalent functions in  $\mathbb{U}$  given by the Taylor–Maclaurin series expansion (1.1). Examples of functions in the class  $\Sigma$  are

$$\frac{z}{1-z}, \quad -\log(1-z), \quad \frac{1}{2} \log \left( \frac{1+z}{1-z} \right),$$

and so on. However, the familiar Koebe function is not a member of  $\Sigma$ . Other common examples of functions in  $\mathcal{S}$  such as

$$z - \frac{z^2}{2} \quad \text{and} \quad \frac{z}{1-z^2}$$

are also not members of  $\Sigma$ .

Lewin [4] investigated the bi-univalent function class  $\Sigma$  and showed that

$$|a_2| < 1.51.$$

Subsequently, Brannan and Clunie [5] conjectured that

$$|a_2| \leq \sqrt{2}.$$

Netanyahu [6], on the other hand, showed that

$$\max_{f \in \Sigma} |a_2| = \frac{4}{3}.$$

The coefficient estimate problem for each of the following Taylor–Maclaurin coefficients:

$$|a_n| \quad (n \in \mathbb{N} \setminus \{1, 2\}; \mathbb{N} := \{1, 2, 3, \dots\})$$

is presumably still an open problem.

Brannan and Taha [7] (see also [8]) introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses  $\mathcal{S}^*(\alpha)$  and  $\mathcal{C}(\alpha)$  (see [9]) of the univalent function class  $\mathcal{S}$ . Thus, following Brannan and Taha [7] (see also [8]), a function  $f \in \mathcal{A}$  is in the class  $\mathcal{S}_\Sigma^*[\alpha]$  ( $0 < \alpha \leq 1$ ) of *strongly bi-starlike* functions of order  $\alpha$  if each of the following conditions is satisfied:

$$f \in \Sigma \quad \text{and} \quad \left| \arg \left( \frac{zf'(z)}{f(z)} \right) \right| < \frac{\alpha\pi}{2} \quad (z \in \mathbb{U}; 0 < \alpha \leq 1) \quad (1.6)$$

and

$$\left| \arg \left( \frac{zg'(w)}{g(w)} \right) \right| < \frac{\alpha\pi}{2} \quad (w \in \mathbb{U}; 0 < \alpha \leq 1), \quad (1.7)$$

where  $g$  is the extension of  $f^{-1}$  to  $\mathbb{U}$ . The classes  $\mathcal{S}_\Sigma^*(\kappa)$  and  $\mathcal{K}_\Sigma(\kappa)$  of bi-starlike functions of order  $\kappa$  and bi-convex functions of order  $\kappa$ , corresponding (respectively) to the function classes  $\mathcal{S}^*(\kappa)$  and  $\mathcal{K}(\kappa)$  defined by (1.2) and (1.3), were also introduced analogously. For each of the function classes  $\mathcal{S}_\Sigma^*(\kappa)$  and  $\mathcal{K}_\Sigma(\kappa)$ , they found *non-sharp* estimates on the first two Taylor–Maclaurin coefficients  $|a_2|$  and  $|a_3|$  (for details, see [7,8]).

The object of the present paper is to introduce two new subclasses of the function class  $\Sigma$  and find estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses of the function class  $\Sigma$ .

## 2. Coefficient bounds for the function class $\mathcal{H}_\Sigma^\alpha$

**Definition 1.** A function  $f(z)$  given by (1.1) is said to be in the class  $\mathcal{H}_\Sigma^\alpha$  ( $0 < \alpha \leq 1$ ) if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad |\arg(f'(z))| \leq \frac{\alpha\pi}{2} \quad (z \in \mathbb{U}; 0 < \alpha \leq 1) \quad (2.1)$$

and

$$|\arg(g'(w))| < \frac{\alpha\pi}{2} \quad (w \in \mathbb{U}; 0 < \alpha \leq 1), \quad (2.2)$$

where the function  $g$  is given by

$$g(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \quad (2.3)$$

We first state and prove the following result.

**Theorem 1.** Let  $f(z)$  given by (1.1) be in the function class  $\mathcal{H}_\Sigma^\alpha$ . Then

$$|a_2| \leq \alpha \sqrt{\frac{2}{\alpha + 2}} \quad \text{and} \quad |a_3| \leq \frac{\alpha(3\alpha + 2)}{3}. \quad (2.4)$$

**Proof.** We can write the argument inequalities in (2.1) and (2.2) equivalently as follows:

$$f'(z) = [Q(z)]^\alpha \quad \text{and} \quad g'(w) = [L(w)]^\alpha, \quad (2.5)$$

respectively, where  $Q(z)$  and  $L(w)$  satisfy the following inequalities:

$$\Re(Q(z)) > 0 \quad (z \in \mathbb{U}) \quad \text{and} \quad \Re(L(w)) > 0 \quad (w \in \mathbb{U}). \quad (2.6)$$

Furthermore, the functions  $Q(z)$  and  $L(w)$  have the forms

$$Q(z) = 1 + c_1 z + c_2 z^2 + \dots \quad (2.7)$$

and

$$L(w) = 1 + l_1 w + l_2 w^2 + \dots, \quad (2.8)$$

respectively. Now, equating the coefficients of  $f'(z)$  with  $[Q(z)]^\alpha$  and the coefficients of  $g'(w)$  with  $[L(w)]^\alpha$ , we get

$$2a_2 = \alpha c_1, \quad (2.9)$$

$$3a_3 = \alpha c_2 + \frac{\alpha(\alpha - 1)}{2} c_1^2, \quad (2.10)$$

$$-2a_2 = \alpha l_1 \quad (2.11)$$

and

$$3(2a_2^2 - a_3) = \alpha l_2 + \frac{\alpha(\alpha - 1)}{2} l_1^2. \quad (2.12)$$

From (2.9) and (2.11), we get

$$c_1 = -l_1 \quad \text{and} \quad 8a_2^2 = \alpha^2(c_1^2 + l_1^2). \quad (2.13)$$

Also, from (2.10) and (2.12), we find that

$$6a_2^2 - \left( \alpha c_2 + \frac{\alpha(\alpha - 1)}{2} c_1^2 \right) = \alpha l_2 + \frac{\alpha(\alpha - 1)}{2} l_1^2.$$

A rearrangement together with the second identity in (2.13) yields

$$6a_2^2 = \alpha(c_2 + l_2) + \frac{\alpha(\alpha - 1)}{2}(l_1^2 + c_1^2) = \alpha(c_2 + l_2) + \alpha(\alpha - 1) \frac{4a_2^2}{\alpha^2}.$$

Therefore, we have

$$a_2^2 = \frac{\alpha^2}{2(\alpha + 2)}(c_2 + l_2),$$

which, in conjunction with the following well-known inequalities (see [1, p. 41]):

$$|c_2| \leq 2 \quad \text{and} \quad |l_2| \leq 2,$$

gives us the desired estimate on  $|a_2|$  as asserted in (2.4).

Next, in order to find the bound on  $|a_3|$ , by subtracting (2.12) from (2.10), we get

$$6a_3 - 6a_2^2 = \alpha c_2 + \frac{\alpha(\alpha - 1)}{2} c_1^2 - \left( \alpha l_2 + \frac{\alpha(\alpha - 1)}{2} l_1^2 \right).$$

Upon substituting the value of  $a_2^2$  from (2.13) and observing that

$$c_1^2 = l_1^2,$$

it follows that

$$a_3 = \frac{1}{4} \alpha^2 c_1^2 + \frac{1}{6} \alpha (c_2 - l_2).$$

The familiar inequalities (see [1, p. 41]):

$$|c_2| \leq 2 \quad \text{and} \quad |l_2| \leq 2$$

now yield

$$|a_3| \leq \frac{1}{4} \alpha^2 \cdot 4 + \frac{1}{6} \alpha \cdot 4 = \frac{\alpha(3\alpha + 2)}{3}.$$

This completes the proof of Theorem 1.  $\square$

### 3. Coefficient bounds for the function class $\mathcal{H}_\Sigma(\beta)$

**Definition 2.** A function  $f(z)$  given by (1.1) is said to be in the class  $\mathcal{H}_\Sigma(\beta)$  ( $0 \leq \beta < 1$ ) if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad \Re(f'(z)) > \beta \quad (z \in \mathbb{U}; 0 \leq \beta < 1) \tag{3.1}$$

and

$$\Re(g'(w)) > \beta \quad (w \in \mathbb{U}; 0 \leq \beta < 1), \tag{3.2}$$

where the function  $g$  is defined by (2.3).

**Theorem 2.** Let  $f(z)$  given by (1.1) be in the function class  $\mathcal{H}_\Sigma(\beta)$  ( $0 \leq \beta < 1$ ). Then

$$|a_2| \leq \sqrt{\frac{2(1-\beta)}{3}} \quad \text{and} \quad |a_3| \leq \frac{(1-\beta)(5-3\beta)}{3}. \tag{3.3}$$

**Proof.** First of all, the argument inequalities in (3.1) and (3.2) can easily be rewritten in their equivalent forms:

$$f'(z) = \beta + (1-\beta)Q(z) \quad \text{and} \quad g'(w) = \beta + (1-\beta)L(w), \tag{3.4}$$

respectively, where  $Q(z)$  and  $L(w)$  satisfy the following inequalities:

$$\Re(Q(z)) > 0 \quad (z \in \mathbb{U}) \quad \text{and} \quad \Re(L(w)) > 0 \quad (w \in \mathbb{U}).$$

Moreover, the functions  $Q(z)$  and  $L(w)$  have the following forms:

$$Q(z) = 1 + c_1z + c_2z^2 + \dots \tag{3.5}$$

and

$$L(w) = 1 + l_1w + l_2w^2 + \dots. \tag{3.6}$$

As in the proof of Theorem 1, by suitably comparing coefficients, we get

$$2a_2 = (1-\beta)c_1, \tag{3.7}$$

$$3a_3 = (1-\beta)c_2, \tag{3.8}$$

$$-2a_2 = (1-\beta)l_1 \tag{3.9}$$

and

$$3(2a_2^2 - a_3) = (1 - \beta)l_2. \quad (3.10)$$

Now, considering (3.7) and (3.9), we get

$$c_1 = -l_1 \quad \text{and} \quad 8a_2^2 = (1 - \beta)^2(c_1^2 + l_1^2). \quad (3.11)$$

Also, from (3.8) and (3.10), we find that

$$6a_2^2 = 3a_3 + (1 - \beta)l_2 = (1 - \beta)c_2 + (1 - \beta)l_2 = (1 - \beta)(c_2 + l_2). \quad (3.12)$$

Therefore, we have

$$|a_2^2| \leq \frac{(1 - \beta)}{6} (|c_2| + |l_2|) = \frac{(1 - \beta)}{6} \cdot 4 = \frac{2(1 - \beta)}{3}.$$

This gives the bound on  $|a_2|$  as asserted in (3.3).

Next, in order to find the bound on  $|a_3|$ , by subtracting (3.10) from (3.8), we get

$$6a_3 - 6a_2^2 = (1 - \beta)(c_2 - l_2),$$

which, upon substitution of the value of  $a_2^2$  from (3.11), yields

$$6a_3 = \frac{6}{8} (1 - \beta)^2(c_1^2 + l_1^2) + (1 - \beta)(c_2 - l_2).$$

This last equation, together with the well-known estimates:

$$|c_1| \leq 2, \quad |l_1| \leq 2, \quad |c_2| \leq 2 \quad \text{and} \quad |l_2| \leq 2,$$

lead us to the following inequality:

$$6|a_3| \leq \frac{3}{4} (1 - \beta)^2 \cdot 8 + (1 - \beta) \cdot 4.$$

Therefore, we have

$$|a_3| \leq \frac{(1 - \beta)(5 - 3\beta)}{3}.$$

This completes the proof of Theorem 2.  $\square$

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