

## Initial Coefficient Estimates for Certain Subclasses of Bi-Univalent Functions of Ma-Minda Type

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### Abstract

In the present work, we propose to investigate the coefficient estimates for certain subclasses of bi-univalent functions of Ma-Minda type. Some interesting applications of the results presented here are also discussed.

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## 1 Introduction

Let  $\mathcal{A}$  denote the class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (1.1)$$

which are analytic in the open unit disc  $\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$ . Further, by  $\mathcal{S}$  we shall denote the class of all functions in  $\mathcal{A}$  which are univalent in  $\mathbb{U}$ .

For two functions  $f$  and  $g$ , analytic in  $\mathbb{U}$ , we say that the function  $f(z)$  is subordinate to  $g(z)$  in  $\mathbb{U}$ , and write

$$f(z) \prec g(z) \quad (z \in \mathbb{U})$$

if there exists a Schwarz function  $w(z)$ , analytic in  $\mathbb{U}$ , with

$$w(0) = 0 \quad \text{and} \quad |w(z)| < 1 \quad (z \in \mathbb{U})$$

such that

$$f(z) = g(w(z)) \quad (z \in \mathbb{U}).$$

In particular, if the function  $g$  is univalent in  $\mathbb{U}$ , the above subordination is equivalent to

$$f(0) = g(0) \quad \text{and} \quad f(\mathbb{U}) \subset g(\mathbb{U}).$$

It is well known that every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{U})$$

and

$$f(f^{-1}(w)) = w \quad \left( |w| < r_0(f); r_0(f) \geq \frac{1}{4} \right),$$

where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \quad (1.2)$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathbb{U}$ . Let  $\Sigma$  denote the class of bi-univalent functions in  $\mathbb{U}$  given by (1.1). Many interesting examples of functions which are in (or which are not in) the class  $\Sigma$ , together with various other properties and characteristics associated with the bi-univalent function class  $\Sigma$  (including also several open problems and conjectures involving estimates on the TaylorMaclaurin coefficients of functions in  $\Sigma$ ), can be found in recent literatures [1, 3, 5, 6, 8] and [11]-[16].

Let  $\varphi$  be an analytic and univalent function with positive real part in  $\mathbb{U}$  with  $\varphi(0) = 1$ ,  $\varphi'(0) > 0$  and  $\varphi$  maps the unit disk  $\mathbb{U}$  onto a region starlike

with respect to 1, and symmetric with respect to the real axis. The Taylor's series expansion of such function is of the form

$$\varphi(z) = 1 + B_1z + B_2z^2 + B_3z^3 + \dots \text{ with } B_1 > 0. \tag{1.3}$$

Throughout this paper we assume that the function  $\varphi$  satisfies the above conditions one or otherwise stated.

By  $\mathcal{S}^*(\varphi)$  and  $\mathcal{K}(\varphi)$  we denote the following classes of functions

$$\mathcal{S}^*(\varphi) := \left\{ f : f \in \mathcal{S} \text{ and } \frac{zf'(z)}{f(z)} \prec \varphi(z); z \in \mathbb{U} \right\} \tag{1.4}$$

and

$$\mathcal{K}(\varphi) := \left\{ f : f \in \mathcal{S} \text{ and } 1 + \frac{zf''(z)}{f'(z)} \prec \varphi(z); z \in \mathbb{U} \right\}. \tag{1.5}$$

The classes  $\mathcal{S}^*(\varphi)$  and  $\mathcal{K}(\varphi)$  are the extensions of a classical sets of a starlike and convex functions and in a such form were defined and studied by Ma and Minda [7]. A function  $f$  is bi-starlike of Ma-Minda type or bi-convex of Ma-Minda type if both  $f$  and  $f^{-1}$  are respectively Ma-Minda starlike or convex. These classes are denoted respectively by  $\mathcal{S}_\Sigma^*(\varphi)$  and  $\mathcal{K}_\Sigma(\varphi)$  (see [1]).

Similarly, the familiar classes  $\mathcal{S}^*(\gamma, \varphi)$  and  $\mathcal{K}(\gamma, \varphi)$  of Ma-Minda starlike and convex of complex order  $\gamma$  ( $\gamma \in \mathbb{C} \setminus \{0\}$ ), which are respectively, characterized by

$$\mathcal{S}^*(\gamma, \varphi) := \left\{ f : f \in \mathcal{S} \text{ and } 1 + \frac{1}{\gamma} \left( \frac{zf'(z)}{f(z)} - 1 \right) \prec \varphi(z); z \in \mathbb{U} \right\} \tag{1.6}$$

and

$$\mathcal{K}(\gamma, \varphi) := \left\{ f : f \in \mathcal{S} \text{ and } 1 + \frac{1}{\gamma} \left( \frac{zf''(z)}{f'(z)} \right) \prec \varphi(z); z \in \mathbb{U} \right\}. \tag{1.7}$$

The classes  $\mathcal{S}^*(\gamma, \varphi)$  and  $\mathcal{K}(\gamma, \varphi)$  were introduced and studied by Ravichandran et al. [10]. Also, a function  $f$  is bi-starlike and bi-convex of complex order  $\gamma$  ( $\gamma \in \mathbb{C} \setminus \{0\}$ ) of Ma-Minda type if both  $f$  and  $f^{-1}$  are, respectively, Ma-Minda starlike and Ma-Minda convex of complex order  $\gamma$  ( $\gamma \in \mathbb{C} \setminus \{0\}$ ). These classes are denoted respectively by  $\mathcal{S}_\Sigma^*(\gamma, \varphi)$  and  $\mathcal{K}_\Sigma(\gamma, \varphi)$ .

In this paper, estimates on the initial coefficients for bi-univalent functions of Ma-Minda type are obtained. Several related classes are also considered, and a connection to earlier known results are made.

## 2 Coefficient Estimates for the Function Class $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ ,

In order to derive our results, we shall need the following lemma.

**Lemma 2.1.** (see [9]) *If  $p \in \mathcal{P}$ , then  $|p_i| \leq 2$  for each  $i$ , where  $\mathcal{P}$  is the family of all functions  $p$ , analytic in  $\mathbb{U}$ , for which*

$$\Re\{p(z)\} > 0 \quad (z \in \mathbb{U}),$$

where

$$p(z) = 1 + p_1z + p_2z^2 + \cdots \quad (z \in \mathbb{U}).$$

Next, a function  $f \in \Sigma$  is said to be in the class  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ ,  $\gamma \in \mathbb{C} \setminus \{0\}$ ,  $\alpha \geq 0$ ,  $\lambda \geq 0$ , if the following subordinations hold:

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1 \right) \prec \varphi(z) \quad (2.1)$$

and

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right) \prec \varphi(w), \quad (2.2)$$

and  $g(w) = f^{-1}(w)$ . The class introduced in this paper is motivated by the corresponding class investigated in [2, 4].

It is interesting to note that the special values of  $\alpha$ ,  $\gamma$ ,  $\lambda$  and  $\varphi$  lead the class  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$  to various subclasses, we illustrate the following subclasses:

1. For  $\alpha = 1 + 2\lambda$  the class  $\mathcal{W}_\Sigma(\gamma, \lambda, 1 + 2\lambda, \varphi) \equiv \mathcal{R}_\Sigma(\gamma, \lambda, \varphi)$  is

$$1 + \frac{1}{\gamma} (f'(z) + \lambda z f''(z) - 1) \prec \varphi(z)$$

and

$$1 + \frac{1}{\gamma} (g'(w) + \lambda w g''(w) - 1) \prec \varphi(w),$$

where  $g(w) = f^{-1}(w)$ . The class  $\mathcal{R}_\Sigma(\gamma, \lambda, \varphi)$  was independently introduced and studied by Tudor [14] and Deniz [3].

2. For  $\lambda = 0$  the class  $\mathcal{W}_\Sigma(\gamma, 0, \alpha, \varphi) \equiv \mathcal{B}_\Sigma(\gamma, \alpha, \varphi)$  is

$$1 + \frac{1}{\gamma} \left( (1 - \alpha) \frac{f(z)}{z} + \alpha f'(z) - 1 \right) \prec \varphi(z)$$

and

$$1 + \frac{1}{\gamma} \left( (1 - \alpha) \frac{g(w)}{w} + \alpha g'(w) - 1 \right) \prec \varphi(w),$$

where  $g(w) = f^{-1}(w)$ .

**Remark 2.2.** For  $\gamma = 1$  the class  $\mathcal{B}_\Sigma(1, \alpha, \varphi) \equiv \mathcal{B}_\Sigma(\alpha, \varphi)$  was introduced and studied by Sivaprasad Kumar et al. [11] (see [16]). For  $\varphi(z) = \frac{1+(1-2\beta)z}{1-z}$ ,  $0 \leq \beta < 1$  and  $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\eta$ ,  $0 < \eta \leq 1$ , the classes  $\mathcal{B}_\Sigma(\alpha, \frac{1+(1-2\beta)z}{1-z}) \equiv \mathcal{B}_\Sigma(\alpha, \beta)$  and  $\mathcal{B}_\Sigma(\alpha, \left(\frac{1+z}{1-z}\right)^\eta) \equiv \mathcal{B}_\Sigma^\eta(\alpha)$  were introduced and studied by Frasin and Aouf [5].

3. For  $\lambda = 0$  and  $\alpha = 1$  the class  $\mathcal{W}_\Sigma(\gamma, 0, 1, \varphi) \equiv \mathcal{P}_\Sigma(\gamma, \varphi)$  is

$$1 + \frac{1}{\gamma} (f'(z) - 1) \prec \varphi(z)$$

and

$$1 + \frac{1}{\gamma} (g'(w) - 1) \prec \varphi(w),$$

where  $g(w) = f^{-1}(w)$ .

**Remark 2.3.** For  $\gamma = 1$  the class  $\mathcal{P}_\Sigma(1, \varphi) \equiv \mathcal{P}_\Sigma(\varphi)$  was introduced and studied by Ali et al. [1] (see [15]). For  $\varphi(z) = \frac{1+(1-2\beta)z}{1-z}$ ,  $0 \leq \beta < 1$  and  $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\eta$ ,  $0 < \eta \leq 1$ , the classes  $\mathcal{P}_\Sigma(\frac{1+(1-2\beta)z}{1-z}) \equiv \mathcal{P}_\Sigma(\beta)$  and  $\mathcal{P}_\Sigma(\left(\frac{1+z}{1-z}\right)^\eta) \equiv \mathcal{P}_\Sigma^\eta$  were introduced and studied by Srivastava et al. [12] (see [6]).

For  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , the following coefficient estimation holds.

**Theorem 2.4.** If  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , then

$$|a_2| \leq \frac{|\gamma|B_1\sqrt{B_1}}{\sqrt{|\gamma|(1+2\alpha+2\lambda)B_1^2+(1+\alpha)^2(B_1-B_2)|}} \tag{2.3}$$

and

$$|a_3| \leq \frac{|\gamma|B_1}{1+2\alpha+2\lambda} + \frac{|\gamma|^2B_1^2}{(1+\alpha)^2}. \tag{2.4}$$

*Proof.* Since  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , there exists two analytic functions  $r, s : \mathbb{U} \rightarrow \mathbb{U}$ , with  $r(0) = 0 = s(0)$ , such that

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1 \right) = \varphi(r(z)) \tag{2.5}$$

and

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right) = \varphi(s(z)). \tag{2.6}$$

Define the functions  $p$  and  $q$  by

$$p(z) = \frac{1+r(z)}{1-r(z)} = 1 + p_1z + p_2z^2 + p_3z^3 + \dots \tag{2.7}$$

$$q(z) = \frac{1 + s(z)}{1 - s(z)} = 1 + q_1z + q_2z^2 + q_3z^3 + \dots \tag{2.8}$$

or equivalently,

$$r(z) = \frac{p(z) - 1}{p(z) + 1} = \frac{1}{2} \left( p_1z + \left( p_2 - \frac{p_1^2}{2} \right) z^2 + \left( p_3 + \frac{p_1}{2} \left( \frac{p_1^2}{2} - p_2 \right) - \frac{p_1p_2}{2} \right) z^3 + \dots \right) \tag{2.9}$$

$$s(z) = \frac{q(z) - 1}{q(z) + 1} = \frac{1}{2} \left( q_1z + \left( q_2 - \frac{q_1^2}{2} \right) z^2 + \left( q_3 + \frac{q_1}{2} \left( \frac{q_1^2}{2} - q_2 \right) - \frac{q_1q_2}{2} \right) z^3 + \dots \right). \tag{2.10}$$

It is clear that  $p$  and  $q$  are analytic in  $\mathbb{U}$  and  $p(0) = 1 = q(0)$ . Also  $p$  and  $q$  have positive real part in  $\mathbb{U}$ , and hence  $|p_i| \leq 2$  and  $|q_i| \leq 2$ . In the view of (2.5), (2.6), (2.9) and (2.10), clearly

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1 \right) = \varphi \left( \frac{p(z) - 1}{p(z) + 1} \right) \tag{2.11}$$

and

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right) = \varphi \left( \frac{q(w) - 1}{q(w) + 1} \right). \tag{2.12}$$

Using (2.9) and (2.10) together with (1.3), it is evident that

$$\varphi \left( \frac{p(z) - 1}{p(z) + 1} \right) = 1 + \frac{1}{2}B_1p_1z + \left( \frac{1}{2}B_1 \left( p_2 - \frac{1}{2}p_1^2 \right) + \frac{1}{4}B_2p_1^2 \right) z^2 + \dots \tag{2.13}$$

$$\varphi \left( \frac{q(w) - 1}{q(w) + 1} \right) = 1 + \frac{1}{2}B_1q_1w + \left( \frac{1}{2}B_1 \left( q_2 - \frac{1}{2}q_1^2 \right) + \frac{1}{4}B_2q_1^2 \right) w^2 + \dots \tag{2.14}$$

Since  $f \in \Sigma$  is of the form (1.1), a computation shows that its inverse  $g = f^{-1}$  has the expression given by (1.2).

It follows from (2.11), (2.12), (2.13) and (2.14) that

$$\frac{1}{\gamma}(1 + \alpha)a_2 = \frac{1}{2}B_1p_1 \tag{2.15}$$

$$\frac{a_3}{\gamma}(1 + 2\alpha + 2\lambda) = \frac{1}{2}B_1 \left( p_2 - \frac{1}{2}p_1^2 \right) + \frac{1}{4}B_2p_1^2 \tag{2.16}$$

$$-\frac{1}{\gamma}(1 + \alpha)a_2 = \frac{1}{2}B_1q_1 \tag{2.17}$$

and

$$\frac{(1 + 2\alpha + 2\lambda)}{\gamma}(2a_2^2 - a_3) = \frac{1}{2}B_1 \left( q_2 - \frac{1}{2}q_1^2 \right) + \frac{1}{4}B_2q_1^2. \tag{2.18}$$

From (2.15) and (2.17), it follows that

$$p_1 = -q_1 \tag{2.19}$$

and

$$\frac{4}{\gamma^2}(1 + \alpha)^2a_2^2 = B_1^2(p_1^2 + q_1^2). \tag{2.20}$$

Now, (2.16), (2.18) and (2.20) yield

$$a_2^2 = \frac{\gamma^2 B_1^3(p_2 + q_2)}{4\gamma(1 + 2\alpha + 2\lambda)B_1^2 + 4(1 + \alpha)^2(B_1 - B_2)}. \tag{2.21}$$

Thus the desired estimate on  $|a_2|$  as asserted in (2.3), follows using the Lemma 2.1 that  $|p_2| \leq 2$  and  $|q_2| \leq 2$ .

By subtracting (2.16) from (2.18) and a computation using (2.15) finally lead to

$$a_3 = \frac{\gamma B_1(p_2 - q_2)}{4 + 8\alpha + 8\lambda} + \frac{\gamma^2 B_1^2 p_1^2}{4(1 + \alpha)^2} \tag{2.22}$$

Applying Lemma 2.1 once again, we readily get the estimate given in (2.4).  $\square$

**Remark 2.5.** Taking  $\alpha = 1 + 2\lambda$  in Theorem 2.4, we obtain the corresponding result given earlier by Deniz [3, Theorem 2.6, p.56] and further a result obtained in the Theorem 2.4 corrects the result of Tudor [14, Theorem 2.1, p.79]. For  $\gamma = 1$  and  $\alpha = 1 + 2\lambda$  in Theorem 2.4 we have a result of Kumar et al. [11, Theorem 2.1, p.5]. For  $\gamma = 1, \lambda = 0$  and  $\alpha = 1$  Theorem 2.4 reduces to a result of Ali et al. [1, Theorem 2.1, p.345].

If we set  $\varphi(z) = \frac{1 + Az}{1 + Bz}$ ,  $-1 \leq B < A \leq 1$ , in the class  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , we have  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \frac{1+Az}{1+Bz})$  and defined as

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda) f'(z) + \lambda z f''(z) - 1 \right) \prec \frac{1 + Az}{1 + Bz}, \quad z \in \mathbb{U}$$

and

$$1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda) g'(w) + \lambda w g''(w) - 1 \right) \prec \frac{1 + Aw}{1 + Bw}, \quad w \in \mathbb{U},$$

where  $g(w) = f^{-1}(w)$ .

**Corollary 2.6.** *If  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \frac{1+Az}{1+Bz})$ , then*

$$|a_2| \leq \frac{|\gamma|(A - B)}{\sqrt{|\gamma(1 + 2\alpha + 2\lambda)(A - B) + (1 + \alpha)^2(1 + B)|}}$$

and

$$|a_3| \leq \frac{|\gamma|(A - B)}{1 + 2\alpha + 2\lambda} + \frac{|\gamma|^2(A - B)^2}{(1 + \alpha)^2}.$$

Taking  $\varphi(z) = \frac{1 + (1 - 2\beta)z}{1 - z}$ ,  $0 \leq \beta < 1$  in the class  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , we have  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \beta)$  and  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \beta)$  if the following conditions are satisfied:

$$\Re \left( 1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1 \right) \right) > \beta, \quad z \in \mathbb{U}$$

and

$$\Re \left( 1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right) \right) > \beta, \quad w \in \mathbb{U},$$

where  $g(w) = f^{-1}(w)$ .

**Corollary 2.7.** *If  $f \in \mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \beta)$ , then*

$$|a_2| \leq |\gamma| \sqrt{\frac{2(1 - \beta)}{|\gamma(1 + 2\alpha + 2\lambda)|}} \text{ and } |a_3| \leq \frac{2|\gamma|(1 - \beta)}{1 + 2\alpha + 2\lambda} + \frac{4|\gamma|^2(1 - \beta)^2}{(1 + \alpha)^2}.$$

**Remark 2.8.** *Taking  $\gamma = 1$ ,  $\lambda = 0$  in Corollary 2.7 our result coincides with a result of Frasin and Aouf [5, Theorem 3.2, p.1572],  $\gamma = 1$ ,  $\lambda = 0$  and  $\alpha = 1$  in Corollary 2.7 we obtain a result of Srivastava et al. [12, Theorem 2, p.1191]*

Taking  $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\eta$ ,  $0 < \eta \leq 1$  in the class  $\mathcal{W}_\Sigma(\gamma, \lambda, \alpha, \varphi)$ , we have  $\mathcal{W}_\Sigma^\eta(\gamma, \lambda, \alpha)$  and  $f \in \mathcal{W}_\Sigma^\eta(\gamma, \lambda, \alpha)$  if the following conditions are satisfied:

$$\arg \left| 1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1 \right) \right| < \eta, \quad z \in \mathbb{U}$$

and

$$\arg \left| 1 + \frac{1}{\gamma} \left( (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right) \right| < \eta, \quad w \in \mathbb{U},$$

where  $g(w) = f^{-1}(w)$ .

**Corollary 2.9.** *If  $f \in \mathcal{W}_{\Sigma}^{\eta}(\gamma, \lambda, \alpha)$ , then*

$$|a_2| \leq \frac{2|\gamma|\eta}{\sqrt{|2\gamma(1+2\alpha+2\lambda)\eta + (1+\alpha)^2(1-\eta)|}} \text{ and } |a_3| \leq \frac{2|\gamma|\eta}{1+2\alpha+2\lambda} + \frac{4|\gamma|^2\eta^2}{(1+\alpha)^2}.$$

**Remark 2.10.** *Taking  $\gamma = 1$ ,  $\lambda = 0$  in Corollary 2.9 our result coincides with a result of Frasin and Aouf [5, Theorem 2.2, p.1570],  $\gamma = 1$ ,  $\lambda = 0$  and  $\alpha = 1$  in Corollary 2.9 we obtain a result of Srivastava et al. [12, Theorem 1, p.1190]*

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