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ORIGINAL ARTICLE

# Estimate for initial Maclaurin coefficients of bi-univalent functions for a class defined by fractional derivatives

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**Abstract** Estimates for second and third Maclaurin coefficients of certain bi-univalent functions in the open unit disk defined by convolution are determined. Certain special cases are also indicated.

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**1. Introduction and definitions**

Let  $\mathcal{A}$  be the class of functions  $f$  which are analytic univalent functions in the open unit disk  $\mathbb{D} = \{z : |z| < 1\}$  with normalized by the conditions  $f(0) = 0$  and  $f'(0) = 1$  and having form

$$f(z) = z + a_2z^2 + a_3z^3 + \dots \quad (z \in \mathbb{D}). \tag{1.1}$$

Familiar subclasses of starlike and convex functions for which either of the quantity  $Re \{zf'(z)/f(z)\} > 0$  or  $\{1 + zf''(z)/f'(z)\} > 0$ . The class consisting these two functions are given by  $\mathcal{S}^*$  and  $\mathcal{C}$ , respectively. For a constant  $\beta \in (-\pi/2, \pi/2)$ , a function  $f$  is univalent on  $\mathbb{D}$  and satisfies the condition that  $Re \{e^{i\beta}zf'(z)/f(z)\} > 0$  in  $\mathbb{D}$ . We denote this class by  $\mathcal{S}^*_\beta$ .

The Koebe one-quarter theorem [2] ensures that the image of  $\mathbb{D}$  under every univalent function  $f \in \mathcal{A}$  contains a disk of

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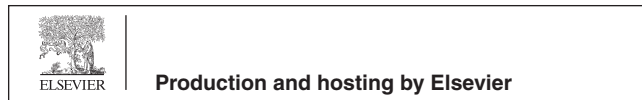
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radius 1/4. Thus every univalent function  $f$  has an inverse  $f^{-1}$  satisfying  $f^{-1}(f(z)) = z, (z \in \mathbb{D})$  and

$$f^{-1}(f(w)) = w \quad \left( |w| < r_0(f); r_0(f) \geq \frac{1}{4} \right).$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{D}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathbb{D}$ . We denote the class of bi-univalent functions by  $\sigma$ . Lewin [4] investigated the class  $\sigma$  of bi-univalent functions and obtained the bound for the second coefficient. Brannan and Taha [1] considered certain subclasses of bi-univalent functions, similar to the familiar subclasses of univalent functions consisting of strongly starlike, starlike and convex functions. They introduced bi-starlike functions and bi-convex functions and obtained estimates on the initial coefficients. Recently, Srivastava et al. [8] and Frasin and Aouf [3] introduced and investigated subclasses of bi-univalent functions and obtained bounds for the initial coefficients.

Let  $f$  and  $g$  be analytic functions in  $\mathbb{D}$ , we say that  $f$  is subordinate to  $g$ , written as  $f < g$ , if there exists a Schwarz function  $w(z)$  in  $\mathbb{D}$ , with  $w(0) = 0$  and  $|w(z)| < 1 (z \in \mathbb{D})$ , such that  $f(z) = g(w(z))$ . In particular, when  $g$  is univalent, then the above subordination is equivalent to  $f(0) = 0$  and  $f(\mathbb{D}) \subseteq g(\mathbb{D})$ . For functions  $f, g \in \mathcal{A}$  given by



$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad g(z) = z + \sum_{n=2}^{\infty} b_n z^n, \quad z \in \mathbb{D},$$

we define the Hadamard product (or convolution) of  $f$  and  $g$  by

$$(f * g)(z) = z + \sum_{n=2}^{\infty} a_n b_n z^n, \quad z \in \mathbb{D}.$$

**Definition 1.1** (cf. [6,7], see also [9]). Let the function  $f$  be analytic in a simple connected region of the  $z$ -plane containing the origin. The fractional derivative or order ' $\lambda$ ' is defined by

$$(D_z^\lambda f)(z) = \frac{1}{\Gamma(1-\lambda)} \frac{d}{dz} \int_0^z \frac{f(\zeta)}{(z-\zeta)^\lambda} d\zeta \quad (0 \leq \lambda < 1), \quad (1.2)$$

where multiplicity of  $(z-\zeta)^{-\lambda}$  is removed by requiring  $\log(z-\zeta)$  to be real when  $(z-\zeta) > 0$ .

Using Definition 1.1 and its known extension involving fractional derivative and fractional integrals, Owa and Srivastava [6] introduced the fractional differ-integral operator  $\Omega_z^\lambda : \mathcal{A} \rightarrow \mathcal{A}$  defined by

$$(\Omega_z^\lambda f)(z) = \Gamma(2-\lambda) z^\lambda (D_z^\lambda f)(z) \quad (\lambda \neq 2, 3, 4, \dots; z \in \mathbb{D}). \quad (1.3)$$

Note that  $(\Omega_z^0 f)(z) = z f'(z)$  and  $(\Omega_z^1 f)(z) = f(z)$ .

Motivated by the work of Srivastava et al. [8] and Mishra and Gochhayat [5], we introduce a new subclass of bi univalent functions  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(h)$ .

**Definition 1.2.** Let

$$h : \mathbb{D} \rightarrow \mathbb{C},$$

be a convex univalent function such that

$$h(0) = 1 \quad \text{and} \quad h(\bar{z}) = \overline{h(z)}, \quad (z \in \mathbb{D}; \operatorname{Re}(h(z)) > 0).$$

A function  $f(z)$  is said to be in the class  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(h)$ , if the following conditions are satisfied:

$$f \in \sigma \quad \text{and} \quad e^{i\beta} \frac{(\Omega_z^\lambda f)(z)}{z} \prec h(z) \cos \beta + i \sin \beta, \quad (z \in \mathbb{D}), \quad (1.4)$$

and

$$e^{i\beta} \frac{(\Omega_z^\lambda g)(w)}{w} \prec h(w) \cos \beta + i \sin \beta, \quad (w \in \mathbb{D}); \quad (1.5)$$

where  $\beta \in (-\pi/2, \pi/2)$ ,  $\lambda \neq 2, 3, \dots$  and  $g = f^{-1}$ .

**Remark 1.1.** If we set  $h(z) = 1 + Az/1 + Bz$ ,  $-1 \leq B < A \leq 1$ , then the class  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(h)$  reduces to  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(A, B)$  which is define as

$$f \in \sigma \quad \text{and} \quad e^{i\beta} \frac{(\Omega_z^\lambda f)(z)}{z} \prec \frac{1 + Az}{1 + Bz} \cos \beta + i \sin \beta, \quad (z \in \mathbb{D}), \quad (1.6)$$

and

$$e^{i\beta} \frac{(\Omega_z^\lambda g)(w)}{w} \prec \frac{1 + Aw}{1 + Bw} \cos \beta + i \sin \beta, \quad (w \in \mathbb{D}); \quad (1.7)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $g = f^{-1}$  and  $\lambda \neq 2, 3, \dots$

**Remark 1.2.** Taking  $\lambda = 0$  in above class, then we have  $\mathcal{S}\mathcal{B}_\sigma^0(A, B)$  and if  $f \in \mathcal{S}\mathcal{B}_\sigma^0(A, B)$

$$f \in \sigma \quad \text{and} \quad e^{i\beta} f'(z) \prec \frac{1 + Az}{1 + Bz} \cos \beta + i \sin \beta, \quad (z \in \mathbb{D}), \quad (1.8)$$

and

$$e^{i\beta} g'(w) \prec \frac{1 + Aw}{1 + Bw} \cos \beta + i \sin \beta, \quad (w \in \mathbb{D}); \quad (1.9)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $g = f^{-1}$ .

Now substituting  $A = 1 - 2\alpha$ ,  $0 \leq \alpha < 1$ ,  $B = -1$  and  $\beta = 0$ , we get known class  $\mathcal{B}_\sigma(\beta)$  which is studied by Srivastva et al. [8].

**Remark 1.3.** Taking  $\lambda = 1$  in the class  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(A, B)$ , we have  $\mathcal{S}\mathcal{B}_\sigma^{1,\beta}(A, B)$  and if  $f \in \mathcal{S}\mathcal{B}_\sigma^{1,\beta}(A, B)$ , then

$$f \in \sigma \quad \text{and} \quad e^{i\beta} \frac{f(z)}{z} \prec \frac{1 + Az}{1 + Bz} \cos \beta + i \sin \beta, \quad (z \in \mathbb{D}), \quad (1.10)$$

and

$$e^{i\beta} \frac{g(w)}{w} \prec \frac{1 + Aw}{1 + Bw} \cos \beta + i \sin \beta, \quad (w \in \mathbb{D}); \quad (1.11)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $g = f^{-1}$ .

**Remark 1.4.** Taking  $\lambda = 0$  in above class  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(A, B)$ , we have  $\mathcal{S}\mathcal{B}_\sigma^{0,\beta}(A, B)$  and if  $f \in \mathcal{S}\mathcal{B}_\sigma^{0,\beta}(A, B)$ , then

$$f \in \sigma \quad \text{and} \quad \operatorname{Re}(e^{i\beta} f'(z)) > \alpha \cos \beta, \quad (z \in \mathbb{D}), \quad (1.12)$$

and

$$\operatorname{Re}(e^{i\beta} g'(w)) > \alpha \cos \beta, \quad (w \in \mathbb{D}); \quad (1.13)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $g = f^{-1}$ .

**Remark 1.5.** Taking  $A = 1 - 2\alpha$ ,  $B = -1$  in above class in class  $\mathcal{S}\mathcal{B}_\sigma^{1,\beta}(A, B)$ , it reduces to  $\mathcal{S}\mathcal{B}_\sigma^{1,\beta}(\alpha)$  and if  $f \in \mathcal{S}\mathcal{B}_\sigma^{1,\beta}(\alpha)$ , then

$$f \in \sigma \quad \text{and} \quad \operatorname{Re}\left(e^{i\beta} \frac{f(z)}{z}\right) > \alpha \cos \beta, \quad (z \in \mathbb{D}), \quad (1.14)$$

and

$$\operatorname{Re}\left(e^{i\beta} \frac{g(w)}{w}\right) > \alpha \cos \beta \quad (w \in \mathbb{D}). \quad (1.15)$$

The object of the paper is to estimates for the coefficients  $a_2$  and  $a_3$  for functions in the class  $\mathcal{S}\mathcal{B}_\sigma^{\lambda,\beta}(h)$  are obtained by employing the techniques used earlier by Srivastava et al. [8].

## 2. Main result

In order to prove our main result for the functions class  $f \in \mathcal{S}\mathcal{B}_\sigma^k(\beta)$ , we first recall the following lemma:

**Lemma 2.1** (Theorem 3.3, p. 11, 13). Let the function  $\varphi(z)$  given  $\varphi(z) = \sum_{n=1}^{\infty} B_n z^n$  be convex in  $\mathbb{D}$ . Suppose also that the function  $h(z)$  given by

$$h(z) = \sum_{n=1}^{\infty} h_n z^n,$$

is holomorphic in  $\mathbb{D}$ . If  $h(z) \prec \varphi(z)$  ( $z \in \mathbb{D}$ ), then

$$|h_n| \leq |B_1| \quad (n \in \mathbb{N}). \quad (2.1)$$

**Theorem 2.2.** If  $f \in \mathcal{A}$  satisfies (1.1), is in the class  $\mathcal{SB}_\sigma^{\lambda, \beta}(h)$ . Then

$$|a_2| \leq \sqrt{\frac{|B_1| \cos \beta (2 - \lambda)(3 - \lambda)}{12}}, \quad (2.2)$$

and

$$|a_3| \leq \left( \frac{|B_1|(2 - \lambda)}{2} \right)^2 + \frac{|B_1| \cos \beta (2 - \lambda)(3 - \lambda)}{12}, \quad (2.3)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $\lambda \neq 2, 3, \dots$

**Proof.** From (1.4) and (1.5)

$$e^{i\beta} \frac{(\Omega_z^\lambda f)(z)}{z} = p(z) \cos \beta + i \sin \beta, \quad (z \in \mathbb{D}), \quad (2.4)$$

and

$$e^{i\beta} \frac{(\Omega_w^\lambda f)(w)}{w} = q(w) \cos \beta + i \sin \beta, \quad (w \in \mathbb{D}), \quad (2.5)$$

where  $p(z) \prec h(z)$  and  $q(w) \prec h(w)$  and have following forms:

$$p(z) = 1 + p_1 z + p_2 z^2 + p_3 z^3 + \dots \quad z \in \mathbb{D}, \quad (2.6)$$

and

$$q(w) = 1 + q_1 w + q_2 w^2 + q_3 w^3 + \dots \quad w \in \mathbb{D}. \quad (2.7)$$

Now, equating the coefficients in (2.6) and (2.7), we get

$$e^{i\beta} \left( \frac{2}{2 - \lambda} \right) a_2 = p_1, \quad (2.8)$$

$$e^{i\beta} \frac{6}{(2 - \lambda)(3 - \lambda)} a_3 = p_2, \quad (2.9)$$

$$-e^{i\beta} \left( \frac{2}{2 - \lambda} \right) a_2 = q_1, \quad (2.10)$$

and

$$e^{i\beta} \frac{6}{(2 - \lambda)(3 - \lambda)} (2a_2^2 - a_3) = q_2. \quad (2.11)$$

From (2.8) and (2.10), we get

$$p_1 = -q_1, \quad (2.12)$$

and

$$e^{2i\beta} \left( \frac{8}{2 - \lambda} \right)^2 a_2^2 = (p_1^2 + q_1^2) \cos^2 \beta. \quad (2.13)$$

Adding (2.9) and (2.11), it follows:

$$a_2^2 = \frac{(2 - \lambda)(3 - \lambda)}{12} (p_2 + q_2) e^{-i\beta} \cos \beta. \quad (2.14)$$

Again from (2.9) and (2.11)

$$a_3 - a_2^2 = \frac{(2 - \lambda)(3 - \lambda)}{12} (p_2 - q_2) e^{-i\beta} \cos \beta. \quad (2.15)$$

Substituting value of  $a_2^2$  from (2.13) in (2.14), we get

$$a_3 = \frac{(2 - \lambda)(3 - \lambda)}{12} (p_2 - q_2) e^{-i\beta} \cos \beta + \frac{(2 - \lambda)^2}{8} (p_1^2 + q_1^2) e^{-2i\beta} \cos^2 \beta. \quad (2.16)$$

Since  $p(z), q(w) \in h(\mathbb{D})$ . According to Lemma 2.1, we find that

$$|p_k| = \left| \frac{p^{(k)}(0)}{k!} \right| \leq |B_1| \quad (k \in \mathbb{N}), \quad (2.17)$$

and

$$|q_k| = \left| \frac{q^{(k)}(0)}{k!} \right| \leq |B_1| \quad (k \in \mathbb{N}). \quad (2.18)$$

Using above Eq. (2.12) and using (2.17) and (2.18), we have

$$|a_2|^2 \leq \frac{(2 - \lambda)(3 - \lambda)}{12} (|q_2| + |p_2|) \cos \beta \leq \frac{|B_1| \cos \beta (2 - \lambda)(3 - \lambda)}{6}, \quad (2.19)$$

which gives (2.2). Now using (2.13) and (2.15) and from (2.17) and (2.18), we can easily get (2.3). This is the end of the Theorem 2.2.  $\square$

By setting,  $h(z) = \frac{1+Az}{1+Bz}$ ,  $-1 \leq B < A \leq 1$  in Theorem 2.2, we get the following corollary:

**Corollary 2.3.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{\lambda, \beta}(A, B)$ . Then

$$|a_2| \leq \sqrt{\frac{(2 - \lambda)(3 - \lambda)(A - B) \cos \beta}{6}}, \quad (2.20)$$

and

$$|a_3| \leq \frac{(2 - \lambda)^2 (A - B)^2 \cos^2 \beta}{4} + \frac{(2 - \lambda)(3 - \lambda)(A - B) \cos \beta}{6}, \quad (2.21)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $\lambda \neq 2, 3, \dots$ . Again putting,  $h(z) = \frac{1+(1-2\alpha)z}{1-z}$ ,  $0 \leq \alpha < 1$  in Theorem 2.2, we have

**Corollary 2.4.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{\lambda, \beta}(\alpha)$ . Then

$$|a_2| \leq \sqrt{\frac{(2 - \lambda)(3 - \lambda)(1 - \alpha) \cos \beta}{3}} \quad (2.22)$$

and

$$|a_3| \leq (2 - \lambda)^2 (1 - \alpha)^2 \cos^2 \beta + \frac{(2 - \lambda)(3 - \lambda)(1 - \alpha) \cos \beta}{3}, \quad (2.23)$$

where  $\beta \in (-\pi/2, \pi/2)$  and  $\lambda \neq 2, 3, \dots$

If we take  $\lambda = 0$  in Corollary 2.3, it gives

**Corollary 2.5.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{0, \beta}(A, B)$ . Then

$$|a_2| \leq \sqrt{(A - B) \cos \beta}, \quad (2.24)$$

and

$$|a_3| \leq (A - B)^2 \cos^2 \beta + (A - B) \cos \beta, \quad (2.25)$$

where  $\beta \in (-\pi/2, \pi/2)$ .

If we take  $\lambda = 1$  in Corollary 2.3, we obtain

**Corollary 2.6.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{1,\beta}(A, B)$ . Then

$$|a_2| \leq \sqrt{\frac{(A - B) \cos \beta}{3}}, \quad (2.26)$$

and

$$|a_3| \leq \frac{(A - B)^2}{4} \cos^2 \beta + \frac{(A - B) \cos \beta}{3}, \quad (2.27)$$

where  $\beta \in (-\pi/2, \pi/2)$ .

Upon putting  $A = 1 - 2\alpha$ ,  $0 \leq \alpha < 1$  and  $B = -1$ , above Corollaries 2.4 and 2.5, we get following results

**Corollary 2.7.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{0,\beta}(\alpha)$ . Then

$$|a_2| \leq \sqrt{2(1 - \alpha) \cos \beta}, \quad (2.28)$$

and

$$|a_3| \leq 4(1 - \alpha)^2 \cos^2 \beta + 2(1 - \alpha) \cos \beta, \quad (2.29)$$

where  $\beta \in (-\pi/2, \pi/2)$ .

Put  $\lambda = 1$  in Corollary 2.3, we obtain

**Corollary 2.8.** Let  $f \in \mathcal{A}$  be in the class  $\mathcal{SB}_\sigma^{1,\beta}(\alpha)$ . Then

$$|a_2| \leq \sqrt{\frac{2(1 - \alpha) \cos \beta}{3}}, \quad (2.30)$$

and

$$|a_3| \leq (1 - \alpha)^2 \cos^2 \beta + \frac{2(1 - \alpha) \cos \beta}{3}, \quad (2.31)$$

where  $\beta \in (-\pi/2, \pi/2)$ .

**Remark 2.1.** On taking  $\beta = 0$  in Corollary 2.8, we obtain a known result due to Srivastava et al. [8].

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