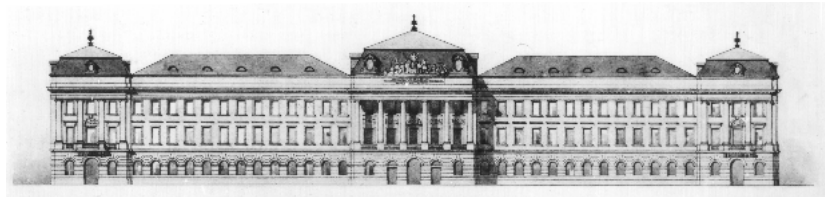


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**INSTITUT FÜR INFORMATIONSSYSTEME
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**CAUSES AND EXPLANATIONS IN THE
STRUCTURAL-MODEL APPROACH:
TRACTABLE CASES**

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CAUSES AND EXPLANATIONS IN THE STRUCTURAL-MODEL
APPROACH: TRACTABLE CASES
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Abstract. In this paper, we continue our research on the algorithmic aspects of Halpern and Pearl's causes and explanations in the structural-model approach. To this end, we present new characterizations of weak causes for certain classes of causal models, which show that under suitable restrictions deciding causes and explanations is tractable. To our knowledge, these are the first explicit tractability results for the structural-model approach.

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1 Introduction

Dealing with causality is an important issue which emerges in many applications of AI. While this issue has been widely addressed, it is not settled yet, and a number of competing approaches to modeling causality can be found in the literature. Some of them are based on modal nonmonotonic logics (developed especially in the context of logic programming), like Geffner’s approach [7, 8], which has been inspired by default reasoning from conditional knowledge bases. More specialized modal-logic based formalisms play an important role in dealing with causal knowledge about actions and change; see especially the work by Turner [23] and the references therein for an overview. A different family of approaches evolved from the area of Bayesian networks, such as Pearl’s approach to modeling causality by structural equations [1, 5, 19, 20]. In particular, the evaluation of deterministic and probabilistic counterfactuals has been explored [1].

Causality plays an important role in the generation of explanations, which are of crucial importance in areas like planning, diagnosis, natural language processing, and probabilistic inference. Different notions of explanations have been studied quite extensively, see especially [13, 6, 21] for philosophical work, and [16, 22, 14] for work in AI that is related to Bayesian networks. A critical examination of such approaches from the viewpoint of explanations in probabilistic systems is given in [2].

In a recent paper [10], Halpern and Pearl formalized causality using a model-based definition, which allows for a precise modeling of many important causal relationships. Based on a notion of weak causality, they offer appealing definitions of actual causality [11] and of causal explanations [12]. As Halpern and Pearl show, their notions of actual cause and causal explanation, which is very different from the concept of causal explanation in [17, 18, 7], models well many problematic examples in the literature.

The following example from [10, 11, 12] illustrates the structural-model approach. See especially [1, 5, 19, 20, 9] for more details on structural causal models.

Example 1.1 (*arsonists*) Suppose two arsonists lit matches in different parts of a dry forest, and both cause trees to start burning. Assume now either match by itself suffices to burn down the whole forest. We may model such a scenario in the structural-model framework as follows. We assume two binary background variables U_1 and U_2 , which determine the motivation and the state of mind of the two arsonists, where U_i is 1 iff arsonist i intends to start a fire. We then have three binary variables A_1 , A_2 , and B , which describe the observable situation, where A_i is 1 iff arsonist i drops the match, and B is 1 iff the whole forest burns down. The causal dependencies between these variables are expressed by functions, which say that the value of A_i is given by the value of U_i , and that B is 1 iff either A_1 or A_2 is 1. These dependencies can be graphically represented as in Fig. 1.

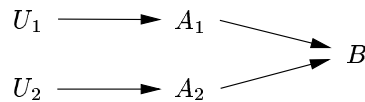


Figure 1: Causal Graph

Causes and explanations for events, such as $B = 1$ (the whole forest burns down), are defined by considering the values of variables in the above model and certain hypothetical variants (see Section 2). \square

The semantic aspects of causes and explanation in the structural-model approach have been thoroughly studied in [10, 11, 12], while their computational complexity has been analyzed in [3, 4]. As shown there,

causes and explanations are complete for the classes Σ_2^P and Σ_3^P of the Polynomial Hierarchy, and thus intractable in general. As for computation, Hopkins [15] explored search-based strategies for computing actual causes in both the general and restricted settings. However, no tractable cases (apart from trivial instances) were explicitly known so far. In this paper, we fill this gap and make the following major contributions:

- We present a new characterization of weak causes in the structural-model approach, which applies to a class of causal models where the causal dependencies can be hierarchically structured, which we call *decomposable graphs*. Examples of causal models which are covered by this class, considered in Section 5, are causal trees (Section 4) and the more general layered causal graphs (Section 6).
- By exploiting the characterization, we obtain algorithms for deciding and computing weak causes, actual causes, and different notions of explanations as defined for the structural-model approach [10, 12, 4].
- Imposing suitable conditions, the algorithms for deciding and computing weak causes, actual causes etc run in polynomial time. By this way, we obtain several tractability results for the structural-model approach, and in fact, to our knowledge, the first ones which are explicitly derived.
- Furthermore, extending work by Hopkins [15], we discuss how irrelevant variables can be efficiently removed from a causal model when determining weak and actual causes. This can lead to great simplifications, and may speed up the computation considerably.

Note that detailed proofs of all results are given in the appendix.

2 Preliminaries

We assume a finite set of *random variables*. Each variable X_i may take on *values* from a finite *domain* $D(X_i)$. A *value* for a set of variables $X = \{X_1, \dots, X_n\}$ is a mapping $x: X \rightarrow D(X_1) \cup \dots \cup D(X_n)$ such that $x(X_i) \in D(X_i)$ (for $X = \emptyset$, the unique value is the empty mapping \emptyset). The *domain* of X , denoted $D(X)$, is the set of all values for X . We say X is *domain-bounded* iff a constant k exists such that $|D(X_i)| \leq k$ for every $X_i \in X$. For $Y \subseteq X$ and $x \in D(X)$, denote by $x|Y$ the restriction of x to Y . For disjoint sets of variables X, Y and values $x \in D(X), y \in D(Y)$, denote by xy the union of x and y . For (not necessarily disjoint) sets of variables X, Y and values $x \in D(X), y \in D(Y)$, denote by $[x\langle y]$ the union of $x|(X \setminus Y)$ and y . We often identify singletons $\{X_i\}$ with X_i , and their values x with $x(X_i)$.

2.1 Causal Models

A *causal model* $M = (U, V, F)$ consists of two disjoint finite sets U and V of *exogenous* and *endogenous* variables, respectively, and a set $F = \{F_X \mid X \in V\}$ of functions $F_X: D(PA_X) \rightarrow D(X)$ that assign a value of X to each value of the *parents* $PA_X \subseteq U \cup V \setminus \{X\}$ of X .

The *causal graph* for M , denoted $G(M)$, is the directed graph (N, E) , where $N = U \cup V$ and $E = \{(Y, X) \in N \times N \mid Y \in PA_X\}$. Denote by $G_V(M)$ the restriction of $G(M)$ to V . A directed graph is *bounded* iff the number of parents of each node is bounded by a global constant.

We focus here on the principal class [10] of *recursive* causal models $M = (U, V, F)$ in which a total ordering \prec on V exists such that $Y \in PA_X$ implies $Y \prec X$, for all $X, Y \in V$. In such models, every assignment to the exogenous variables $U = u$ determines a unique value y for every set of endogenous variables $Y \subseteq V$, denoted $Y_M(u)$ (or simply $Y(u)$). In the sequel, M is reserved for denoting a recursive causal

model. For any causal model $M = (U, V, F)$, set of variables $X \subseteq V$, and $x \in D(X)$, the causal model $M_x = (U, V, F_x)$, where $F_x = \{F_Y \mid Y \in V \setminus X\} \cup \{F_{X'} = x(X') \mid X' \in X\}$, is a *submodel* of M . For $Y \subseteq V$, we abbreviate $Y_{M_x}(u)$ by $Y_x(u)$.

Example 2.1 (*arsonists continued*) $M = (U, V, F)$ for Example 1.1 is given by $U = \{U_1, U_2\}$, $V = \{A_1, A_2, B\}$, and $F = \{F_{A_1}, F_{A_2}, F_B\}$, where $F_{A_1} = U_1$, $F_{A_2} = U_2$, and $F_B = 1$ iff $A_1 = 1$ or $A_2 = 1$ (Fig. 1 shows the causal graph, i.e., the parent relationships between the variables). \square

As for computation, we assume that in $M = (U, V, F)$, every function $F_X: D(PA_X) \rightarrow D(X)$, $X \in V$, is computable in polynomial time. The following is immediate.

Proposition 2.1 *For all $X, Y \subseteq V$ and $x \in D(X)$, the values $Y(u)$ and $Y_x(u)$, given $u \in D(U)$, are computable in polynomial time.*

2.2 Weak and Actual Causes

We now recall weak causes from [10, 11]. A *primitive event* is an expression of the form $Y = y$, where Y is a variable and y is a value for Y . The set of *events* is the closure of the set of primitive events under the Boolean operators \neg and \wedge . The *truth* of an event ϕ in $M = (U, V, F)$ under $u \in D(U)$, denoted $(M, u) \models \phi$, is inductively defined by:

- $(M, u) \models Y = y$ iff $Y_M(u) = y$,
- $(M, u) \models \neg\phi$ iff $(M, u) \models \phi$ does not hold,
- $(M, u) \models \phi \wedge \psi$ iff $(M, u) \models \phi$ and $(M, u) \models \psi$.

We write $\phi(u)$ to abbreviate $(M, u) \models \phi$. For $X \subseteq V$ and $x \in D(X)$, we write $\phi_x(u)$ to abbreviate $(M_x, u) \models \phi$. For $X = \{X_1, \dots, X_k\} \subseteq V$ with $k \geq 1$ and $x_i \in D(X_i)$, we use $X = x_1 \cdots x_k$ to abbreviate $X_1 = x_1 \wedge \dots \wedge X_k = x_k$. The following is immediate.

Proposition 2.2 *Let $X \subseteq V$ and $x \in D(X)$. Given $u \in D(U)$ and an event ϕ , deciding whether $\phi(u)$ and $\phi_x(u)$ (given x) hold can be done in polynomial time.*

Let $M = (U, V, F)$ be a causal model. Let $X \subseteq V$ and $x \in D(X)$, and let ϕ be an event. Then, $X = x$ is a *weak cause* of ϕ under u iff the following conditions hold:

AC1. $X(u) = x$ and $\phi(u)$.

AC2. Some set of variables $W \subseteq V \setminus X$ and some values $\bar{x} \in D(X)$, $w \in D(W)$ exist with:

- (a) $\neg\phi_{\bar{x}w}(u)$, and
- (b) $\phi_{xw\hat{z}}(u)$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$.

Moreover, $X = x$ is an *actual cause* of ϕ under u iff additionally the following minimality condition is satisfied:

AC3. X is minimal. That is, no proper subset of X satisfies both AC1 and AC2.

Note that $X = x$ can be a weak cause only if X is nonempty. The following result is known.

Theorem 2.3 (see [3]) *Let $M = (U, V, F)$, $X \subseteq V$, $x \in D(X)$, and $u \in D(U)$. Let ϕ be an event. Then, $X = x$ is an actual cause of ϕ under u iff X is a singleton and $X = x$ is a weak cause of ϕ under u .*

Note in particular in **EX3**, any counterexample $X' \subset X$ to minimality must be a nonempty set of variables.

Example 2.2 (*arsonists continued*) Consider the context $u_{1,1} = (1, 1)$ in which both arsonists intend to start a fire. Then, $A_1 = 1$, $A_2 = 1$, and $A_1 = 1 \wedge A_2 = 1$ are weak causes of $B = 1$. In fact, $A_1 = 1$ and $A_2 = 1$ are actual causes of $B = 1$, while $A_1 = 1 \wedge A_2 = 1$ is not. Furthermore, $A_1 = 1$ (resp., $A_2 = 1$) is the only weak cause of $B = 1$ under the context $u_{1,0} = (1, 0)$ (resp., $u_{0,1} = (0, 1)$) in which only arsonist 1 (resp., 2) intends to start a fire. \square

2.3 Explanation

We now recall the concept of explanation from [10, 12]. Let $M = (U, V, F)$ be a causal model. Let $X \subseteq V$ and $x \in D(X)$, let ϕ be an event, and let $\mathcal{C} \subseteq D(U)$ be a set of contexts. Then, $X = x$ is an *explanation* of ϕ relative to \mathcal{C} iff the following conditions hold:

EX1. $\phi(u)$ holds, for each context $u \in \mathcal{C}$.

EX2. $X = x$ is a weak cause of ϕ under every $u \in \mathcal{C}$ such that $X(u) = x$.

EX3. X is minimal. That is, for every $X' \subset X$, some $u \in \mathcal{C}$ exists such that $X'(u) = x|X'$ and $X' = x|X'$ is not a weak cause of ϕ under u .

EX4. $X(u) = x$ and $X(u') \neq x$ for some $u, u' \in \mathcal{C}$.

Example 2.3 (*arsonists continued*) Consider the set of contexts $\mathcal{C} = \{u_{1,1}, u_{1,0}, u_{0,1}\}$. Then, both $A_1 = 1$ and $A_2 = 1$ are explanations of $B = 1$ relative to \mathcal{C} , while $A_1 = 1 \wedge A_2 = 1$ is not, as here, the minimality condition EX3 is violated. \square

2.4 Partial Explanation and Explanatory Power

We finally recall the notions of partial and α -partial explanation and of explanatory power [10, 12]. Let $M = (U, V, F)$ be a causal model. Let $X \subseteq V$ and $x \in D(X)$, let ϕ be an event, and let $\mathcal{C} \subseteq D(U)$ be such that $\phi(u)$ holds for all $u \in \mathcal{C}$. We use $\mathcal{C}_{X=x}^\phi$ to denote the unique largest subset \mathcal{C}' of \mathcal{C} such that $X = x$ is an explanation of ϕ relative to \mathcal{C}' . The following proposition is easy to see [4].

Proposition 2.4 *If $X = x$ is an explanation of ϕ relative to some $\mathcal{C}' \subseteq \mathcal{C}$, then $\mathcal{C}_{X=x}^\phi$ is defined, and it contains all $u \in \mathcal{C}$ such that either $X(u) \neq x$, or $X(u) = x$ and $X = x$ is a weak cause of ϕ under u .*

Let P be a probability function on \mathcal{C} , and define

$$P(\mathcal{C}_{X=x}^\phi | X = x) = \frac{\sum_{\substack{u \in \mathcal{C}_{X=x}^\phi \\ X(u) = x}} P(u)}{\sum_{\substack{u \in \mathcal{C} \\ X(u) = x}} P(u)}.$$

Then, $X = x$ is an α -*partial explanation* of ϕ relative to (\mathcal{C}, P) iff $\mathcal{C}_{X=x}^\phi$ is defined and $P(\mathcal{C}_{X=x}^\phi | X = x) \geq \alpha$. We say $X = x$ is a *partial explanation* of ϕ relative to (\mathcal{C}, P) iff $X = x$ is an α -*partial explanation* of ϕ relative to (\mathcal{C}, P) for some $\alpha > 0$; furthermore, $P(\mathcal{C}_{X=x}^\phi | X = x)$ is called its *explanatory power* (or *goodness*).

Example 2.4 (*arsonists continued*) Let $\mathcal{C} = \{u_{1,1}, u_{1,0}, u_{0,1}\}$, and let P be the uniform distribution over \mathcal{C} . Then, both $A_1 = 1$ and $A_2 = 1$ are 1-partial explanations of $B = 1$. That is, both $A_1 = 1$ and $A_2 = 1$ are partial explanations of $B = 1$ with explanatory power 1. \square

As for computation, we assume that probability functions P are computable in polynomial time.

3 Irrelevant Variables

In this section, we describe how an instance of deciding weak cause can be reduced to an equivalent instance in which the (potential) weak cause or the causal model may contain fewer variables. Thus, such reductions remove irrelevant variables in weak causes and causal models.

3.1 Reducing Weak Causes

We first characterize irrelevant variables in weak causes.

The following result shows that deciding whether $X = x$ is a weak cause of ϕ under u is reducible to deciding whether $X' = x|X'$ is a weak cause of ϕ under u , where X' is the set of all $X_i \in X$ that are ancestors of variables in ϕ .

Theorem 3.1 (see [4]) *Let $M = (U, V, F)$, $X_0 \in X \subseteq V$, $x \in D(X)$, and $u \in D(U)$. Let ϕ be an event. Assume that no directed path in $G(M)$ goes from X_0 to a variable in ϕ , and that $X_0(u) = x(X_0)$. Let $X' = X \setminus \{X_0\}$ and $x' = x|X'$. Then, $X = x$ is a weak cause of ϕ under u iff $X' = x'$ is a weak cause of ϕ under u .*

The next result shows that deciding whether $X = x$ is a weak cause of ϕ under u is reducible to deciding whether $X' = x|X'$ is a weak cause of ϕ under u , where X' is the set of all $X_i \in X$ not “blocked” by some other $X_j \in X$.

Theorem 3.2 *Let $M = (U, V, F)$, $X_0 \in X \subseteq V$, $x \in D(X)$, and $u \in D(U)$. Let ϕ be an event. Assume that every directed path in $G(M)$ from X_0 to a variable in ϕ contains some $X_i \in X' = X \setminus \{X_0\}$, and that $X_0(u) = x(X_0)$. Let $x' = x|X'$. Then, $X = x$ is a weak cause of ϕ under u iff $X' = x'$ is a weak cause of ϕ under u .*

The following result shows that computing the set of all variables in a weak cause that are not irrelevant according to Theorems 3.1 and 3.2 can be done in linear time.

Proposition 3.3 *Given $M = (U, V, F)$, $X \subseteq V$, and an event ϕ ,*

(a) *the set X' of all variables $X_i \in X$ such that X_i is an ancestor in $G(M)$ of a variable in ϕ is computable in linear time.*

(b) *the set X' of all variables $X_i \in X$ such that there exists a path from X_i to a variable in ϕ that contains no $X_j \in X \setminus \{X_i\}$ is computable in linear time.*

3.2 Reducing Causal Models

We next give a characterization of irrelevant variables in causal models, which is essentially due to Hopkins [15]. In the sequel, let $M = (U, V, F)$ be a causal model. Let $X \subseteq V$, $x \in D(X)$, and $u \in D(U)$, and let ϕ be an event.

The set of *relevant* variables of M with respect to $X = x$ and ϕ , denoted $R_{X=x}^\phi(M)$, is the set of all variables $A \in V$ such that either (i), or (ii), or (iii) holds:

- (i) $A \in X$, and A is on no directed path in $G(M)$ from a variable in $X \setminus \{A\}$ to a variable in ϕ .
- (ii) A is on a directed path in $G(M)$ from a variable in $X \setminus \{A\}$ to a variable in ϕ .
- (iii) A does not satisfy (i)–(ii), and either A is in ϕ , or A is a parent of a variable that satisfies (ii).

Note that $X \subseteq R_{X=x}^\phi(M)$. A variable $A \in V$ is *irrelevant* w.r.t. $X = x$ and ϕ iff $A \notin R_{X=x}^\phi(M)$. We write $G_{X=x}^\phi(M)$ to denote the restriction of $G(M)$ to $R_{X=x}^\phi(M)$, and often use $G_X^Y(M)$ to abbreviate $G_{X=x}^{Y=y}(M)$.

The reduced causal model of M then does not contain the above irrelevant variables anymore. More formally, the *reduced causal model* of $M = (U, V, F)$ with respect to $X = x$ and ϕ , denoted $M_{X=x}^\phi$, is the causal model $M' = (U, V', F')$, where $V' = R_{X=x}^\phi(M)$ and

$$F' = \{F'_A = F_A^* \mid A \in V' \text{ satisfies (i) or (iii)}\} \cup \{F'_A = F_A \mid A \in V' \text{ satisfies (ii)}\},$$

where F_A^* assigns $A_M(u_A)$ to A for every value $u_A \in D(U_A)$ of the set U_A of all ancestors $B \in U$ of A in $G(M)$.

The following theorem shows that deciding whether $X' = x'$, where $X' \subseteq X$, is a weak cause of ϕ under u can be done with respect to $M_{X=x}^\phi$ instead of M . This result is a generalization of a similar result by Hopkins [15] for events of the form $X' = x'$ and $\phi = Y = y$, where $X' = X$ and X', Y are singletons.

Theorem 3.4 *Let $M = (U, V, F)$, $X' \subseteq X \subseteq V$, $x' \in D(X')$, $x \in D(X)$, and $u \in D(U)$, and let ϕ be an event. Then, $X' = x'$ is a weak cause of ϕ under u in M iff $X' = x'$ is a weak cause of ϕ under u in $M_{X=x}^\phi$.*

The following result shows that the reduced causal model and the restriction of its causal graph to the set of endogenous variables can be computed in polynomial and linear time, respectively. Let, for any causal model M , denote $\|M\|$ the size of its representation.

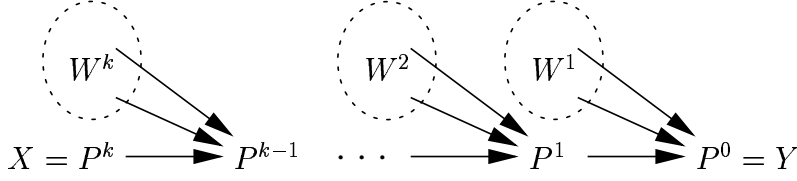
Proposition 3.5 *Given $M = (U, V, F)$, $X \subseteq V$, $x \in D(X)$, and an event ϕ , the directed graph $G_{X=x}^\phi(M)$ (resp., causal model $M_{X=x}^\phi$) can be computed in linear time (resp., $O(\|V\| \|M\|)$ time).*

4 Causal Trees

In this section, we describe our first class of tractable cases of causes and explanations. More precisely, we show that deciding whether $X = x$ is a weak cause of $Y = y$ under u in $M = (U, V, F)$ is tractable, when X, Y are singletons, V is domain-bounded, and $G_X^Y(M)$ is a bounded directed tree with root Y (see Fig. 2).

Under the same conditions, deciding whether $X = x$ is an actual cause of $Y = y$ under u in M , deciding whether $X = x$ is an explanation (resp., a partial explanation or an α -partial explanation) of $Y = y$ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , and computing the explanatory power of $X = x$ for $Y = y$ relative to (\mathcal{C}, P) in M are all tractable.

Observe that this class of tractable cases of causes and explanations described above can be recognized very efficiently. This is shown by the following proposition.

Figure 2: Path from X to Y in a Causal Tree

Proposition 4.1 *Given $M=(U, V, F)$ and $X, Y \in V$, deciding whether $G_X^Y(M) = (V', E')$ is a (bounded) directed tree with root Y can be done in linear time.*

4.1 Causes

We first focus on deciding weak and actual causes. In the sequel, let $M = (U, V, F)$ be a causal model, let $X, Y \subseteq V$ be singletons, and let $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$. Let $G_V(M)$ coincide with $G_X^Y(M)$, and let $G_V(M)$ be a directed tree with root Y .

We now give a new characterization of $X = x$ being a weak cause of $Y = y$ under u in M , which can be checked in polynomial time under some assumptions. We need some preparation by the following definitions.

Let $X \hat{=} P^k \rightarrow P^{k-1} \rightarrow \dots \rightarrow P^0 \hat{=} Y$ be the unique directed path from X to Y in $G_V(M)$. For every $i \in \{1, \dots, k\}$, denote by W^i the set of all parents of P^{i-1} in $G_V(M)$ that are different from P^i (cf. Fig. 2). For every $i \in \{1, \dots, k\}$, we define $\hat{p}^i = P^i(u)$.

We define $R^0 = \{D(Y) \setminus \{y\}\}$, and for each $i \in \{1, \dots, k\}$, we define R^i as follows:

$$R^i = \{ \mathbf{p} \subseteq D(P^i) \mid \exists w \in D(W^i) \exists \mathbf{p}' \in R^{i-1} : \\ P_{\hat{p}^i w}^{i-1}(u) \in D(P^{i-1}) \setminus \mathbf{p}', \\ \forall p \in D(P^i): p \in \mathbf{p} \text{ iff } P_{pw}^{i-1}(u) \in \mathbf{p}' \}.$$

Intuitively, R^i contains all sets of possible values of P^i in **AC2(a)**. Here, $P^0 \hat{=} Y$ must be set to a value different from y , and the possible values of each other P^i depend on the possible values of P^{i-1} . At the same time, the complements of sets in R^i are all sets of possible values of P^i in **AC2(b)**. In summary, **AC2(a)** and (b) hold iff some $\mathbf{p} \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \notin \mathbf{p}$. This result is more formally expressed by the following theorem, which can be proved by induction on $i \in \{1, \dots, k\}$.

Theorem 4.2 *Let $M = (U, V, F)$, $X, Y \in V$, $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$. Let $G_V(M) = G_X^Y(M)$, let $G_V(M)$ be a directed tree with root Y , and let R^k be defined as above. Then, $X = x$ is a weak cause of $Y = y$ under u in M iff (α) $X(u) = x$ and $Y(u) = y$, and (β) some $\mathbf{p} \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \notin \mathbf{p}$.*

The next theorem shows that deciding whether $X = x$ is a weak cause of $Y = y$ under u in M is tractable, when X and Y are singletons, V is domain-bounded, and $G_X^Y(M)$ is a bounded directed tree with root Y . This result follows from Theorem 4.2 and the recursive definition of R^i . By Theorem 2.3, the same tractability result holds for actual causes, as the notion of actual cause coincides with the notion of weak cause where X is a singleton.

Theorem 4.3 Given $M=(U, V, F)$, $X, Y \in V$, $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$, where V is domain-bounded, and $G_X^Y(M)$ is a bounded directed tree with root Y , deciding whether $X = x$ is a weak (resp., an actual) cause of $Y = y$ under u in M can be done in polynomial time.

4.2 Explanations

The following two theorems show that deciding whether $X = x$ is an explanation (resp., a partial explanation or an α -partial explanation) of $Y = y$ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , and computing the explanatory power of $X = x$ for $Y = y$ relative to (\mathcal{C}, P) in M are all tractable under the conditions of the previous subsection. These results follow from Proposition 2.2 and Theorem 4.3.

Theorem 4.4 Given $M=(U, V, F)$, $X, Y \in V$, $x \in D(X)$, $y \in D(Y)$, and $\mathcal{C} \subseteq D(U)$, where V is domain-bounded, and $G_X^Y(M)$ is a bounded directed tree with root Y , deciding whether $X = x$ is an explanation of $Y = y$ relative to \mathcal{C} in M can be done in polynomial time.

Theorem 4.5 Let $M = (U, V, F)$, $X, Y \in V$, $x \in D(X)$, $y \in D(Y)$, $\mathcal{C} \subseteq D(U)$, and P be a probability function on \mathcal{C} , such that V is domain-bounded, $G_X^Y(M)$ is a bounded directed tree with root Y , and $Y(u) = y$ for all $u \in \mathcal{C}$. Then,

- (a) deciding whether $X = x$ is a partial explanation of $Y = y$ relative to (\mathcal{C}, P) in M can be done in polynomial time.
- (b) deciding whether $X = x$ is an α -partial explanation of $Y = y$ relative to (\mathcal{C}, P) in M , for some given $\alpha \geq 0$, can be done in polynomial time.
- (c) given that $X = x$ is a partial explanation of $Y = y$ relative to (\mathcal{C}, P) in M , the explanatory power of $X = x$ is computable in polynomial time.

5 Decomposable Causal Graphs

In this section, we show that the technique of decomposing causal trees for deciding causes and explanations and for computing the explanatory power described in the previous section can be extended to general causal graphs. Intuitively, the main idea is to decompose $G_V(M)$ into a chain of subgraphs along which we can propagate sets of possible values of variables back to the variables in a potential weak cause (see Fig. 3).

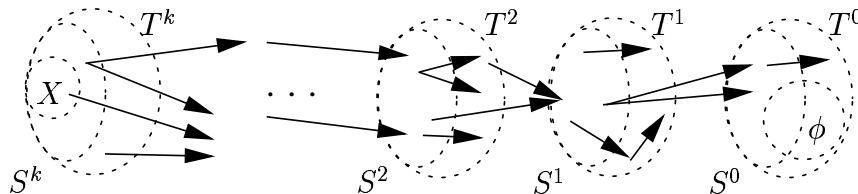


Figure 3: Decomposable Causal Graph

5.1 Causes

We first concentrate on deciding weak and actual causes. In the sequel, let $M = (U, V, F)$ be a causal model, let $X \subseteq V$, $x \in D(X)$, and $u \in D(U)$, and let ϕ be an event.

Intuitively, to decide whether $X = x$ is a weak cause of ϕ under u in M , we decompose $G_V(M)$ into a chain of directed subgraphs over the components of an ordered partition (T^0, \dots, T^k) of V , which are connected to each other exactly through some sets $S^0 \subseteq T^0, \dots, S^k \subseteq T^k$, where every variable in ϕ (resp., X) belongs to T^0 (resp., S^k). We then propagate sets of possible values of the S^i in **AC2(a)** and (b) along the chain from S^0 to S^k . Such a propagation works if certain conditions hold, which are specified in the following concept of a decomposition of $G_V(M)$. A *decomposition* of $G_V(M)$ with respect to $X = x$ and ϕ is a list $((T^0, S^0), \dots, (T^k, S^k))$ of pairs (T^i, S^i) of sets of endogenous variables such that (D1)–(D6) hold:

- D1.** (T^0, \dots, T^k) is an ordered partition of V .
- D2.** $T^0 \supseteq S^0, \dots, T^k \supseteq S^k$.
- D3.** Every $A \in V$ occurring in ϕ belongs to T^0 , and $S^k \supseteq X$.
- D4.** For every $i \in \{0, \dots, k-1\}$, no two variables $A \in T^0 \cup \dots \cup T^{i-1} \cup T^i \setminus S^i$ and $B \in T^{i+1} \cup \dots \cup T^k$ are connected by an arrow in $G_V(M)$.
- D5.** For every $i \in \{1, \dots, k\}$, every child of a variable in S^i in $G_V(M)$ belongs to $(T^i \setminus S^i) \cup S^{i-1}$. Every child of a variable in S^0 belongs to $(T^0 \setminus S^0)$.
- D6.** For every $i \in \{0, \dots, k-1\}$, every parent of a variable in S^i in $G_V(M)$ belongs to T^{i+1} . There are no parents of any variable $A \in S^k$.

Such a decomposition is *width-bounded* iff a constant l exists such that $|T^i| \leq l$ for every $i \in \{1, \dots, k\}$.

Observe that every causal model $M_{X=x}^\phi = (U, V', F')$, where no $A \in X$ is on a path from a variable in $X \setminus \{A\}$ to a variable in ϕ , has always the trivial decomposition $((V', X))$.

We next define the relations R^i , which contain triples $(\mathbf{p}, \mathbf{q}, F)$, where \mathbf{p} (resp., \mathbf{q}) specifies a set of possible values of $F \subseteq S^i$ in **AC2(a)** (resp., **AC2(b)**). In detail, we define R^0 as follows:

$$\begin{aligned}
 R^0 = \{ & (\mathbf{p}, \mathbf{q}, F) \mid F \subseteq S^0, \mathbf{p}, \mathbf{q} \subseteq D(F), \\
 & \exists W \subseteq T^0, W \cap S^0 = S^0 \setminus F, \\
 & \exists w \in D(W) \forall p, q \in D(F): \\
 & p \in \mathbf{p} \text{ iff } \neg \phi_{pw}(u), \\
 & q \in \mathbf{q} \text{ iff } \phi_{[q(\hat{Z}(u))]w}(u) \text{ for all } \hat{Z} \subseteq T^0 \setminus (S^k \cup W)\}.
 \end{aligned}$$

For every $i \in \{1, \dots, k\}$, we then define R^i as follows:

$$\begin{aligned}
 R^i = \{ & (\mathbf{p}, \mathbf{q}, F) \mid F \subseteq S^i, \mathbf{p}, \mathbf{q} \subseteq D(F), \\
 & \exists W \subseteq T^i, W \cap S^i = S^i \setminus F, \\
 & \exists w \in D(W) \exists (\mathbf{p}', \mathbf{q}', F') \in R^{i-1} \forall p, q \in D(F): \\
 & p \in \mathbf{p} \text{ iff } F'_{pw}(u) \in \mathbf{p}', \\
 & q \in \mathbf{q} \text{ iff } F'_{[q(\hat{Z}(u))]w}(u) \in \mathbf{q}' \text{ for all } \hat{Z} \subseteq T^i \setminus (S^k \cup W)\}.
 \end{aligned}$$

We are now ready to give a new characterization of weak cause, which is based on the above concept of a decomposition of $G_V(M)$ and the relations R^i .

Theorem 5.1 *Let $M = (U, V, F)$, $X \subseteq V$, $x \in D(X)$, and $u \in D(U)$. Let ϕ be an event. Let $((T^0, S^0), \dots, (T^k, S^k))$ be a decomposition of $G_V(M)$ with respect to $X=x$ and ϕ . Let R^k be defined as above. Then, $X=x$ is a weak cause of ϕ under u in M iff (α) $X(u) = x$ and $\phi(u)$ holds, and (β) some $(\mathbf{p}, \mathbf{q}, X) \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \in \mathbf{q}$.*

The next result shows that deciding whether $X=x$ is a weak (resp., an actual) cause of ϕ under u in M is tractable, if V is domain-bounded, and if $G_{X=x}^\phi(M)$ has a width-bounded decomposition provided in the input. This result follows from Theorems 2.3, 3.4, and 5.1 and the recursive definition of the R^i 's.

Theorem 5.2 *Given $M = (U, V, F)$, $X \subseteq V$, $x \in D(X)$, $u \in D(U)$, an event ϕ , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ w.r.t. $X=x$ and ϕ , where V is domain-bounded, deciding whether $X=x$ is a weak (resp., an actual) cause of ϕ under u in M is possible in polynomial time.*

The following result shows that, given some $X \subseteq V$, computing all weak (resp., actual) causes $X' = x'$, $X' \subseteq X$, of ϕ under u in M is tractable, if V is domain-bounded, and if $G_{X=x}^\phi(M)$ has a width-bounded decomposition provided in the input. This result can be proved in a similar way as Theorem 5.2.

Theorem 5.3 *Given $M = (U, V, F)$, $X \subseteq V$, $x \in D(X)$, $u \in D(U)$, an event ϕ , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ w.r.t. $X=x$ and ϕ , where V is domain-bounded, computing the set of all $X' = x'$, where $X' \subseteq X$ and $x' \in D(X')$, such that $X' = x'$ is a weak (resp., an actual) cause of ϕ under u in M is possible in polynomial time.*

5.2 Explanations

The following two theorems show that deciding whether $X=x$ is an explanation (resp., a partial explanation or an α -partial explanation) of ϕ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , and computing the explanatory power of $X=x$ for ϕ relative to (\mathcal{C}, P) in M are all tractable, when we assume the same restrictions as in Theorem 5.2. These results follow from Proposition 2.2 and Theorem 5.2.

Theorem 5.4 *Given $M=(U, V, F)$, $X \subseteq V$, $x \in D(X)$, $\mathcal{C} \subseteq D(U)$, an event ϕ , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ w.r.t. $X=x$ and ϕ , where V is domain-bounded, deciding whether $X=x$ is an explanation of ϕ relative to \mathcal{C} in M can be done in polynomial time.*

Theorem 5.5 *Given $M=(U, V, F)$, $X \subseteq V$, $x \in D(X)$, $\mathcal{C} \subseteq D(U)$, an event ϕ , a probability function P on \mathcal{C} , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ with respect to $X=x$ and ϕ , where V is domain-bounded, and $\phi(u)$ for all $u \in \mathcal{C}$,*

- (a) *deciding if $X=x$ is a partial explanation of ϕ relative to (\mathcal{C}, P) in M can be done in polynomial time.*
- (b) *deciding whether $X=x$ is an α -partial explanation of ϕ relative to (\mathcal{C}, P) in M , for some given $\alpha \geq 0$, can be done in polynomial time.*
- (c) *given that $X=x$ is a partial explanation of ϕ relative to (\mathcal{C}, P) in M , computing the explanatory power of $X=x$ can be done in polynomial time.*

The following theorems show that, given some $X \subseteq V$, computing all explanations (resp., partial explanations or α -partial explanations) $X' = x'$ of ϕ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , where $X' \subseteq X$, is tractable under the same restrictions as in Theorem 5.2. They can be proved in a similar way as Theorems 5.4 and 5.5.

Theorem 5.6 Given $M=(U, V, F)$, $X \subseteq V$, $x \in D(X)$, $\mathcal{C} \subseteq D(U)$, an event ϕ , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ w.r.t. $X = x$ and ϕ , where V is domain-bounded, computing the set of all $X' = x'$, where $X' \subseteq X$ and $x' \in D(X')$, such that $X' = x'$ is an explanation of ϕ relative to \mathcal{C} in M can be done in polynomial time.

Theorem 5.7 Given $M=(U, V, F)$, $X \subseteq V$, $x \in D(X)$, $\mathcal{C} \subseteq D(U)$, an event ϕ , a probability function P on \mathcal{C} , and a width-bounded decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_{X=x}^\phi(M)$ with respect to $X = x$ and ϕ , where V is domain-bounded, and $\phi(u)$ for all $u \in \mathcal{C}$,

- (a) computing the set of all $X' = x'$, where $X' \subseteq X$ and $x' \in D(X')$, such that $X' = x'$ is a partial explanation of ϕ relative to (\mathcal{C}, P) in M can be done in polynomial time.
- (b) computing the set of all $X' = x'$, where $X' \subseteq X$ and $x' \in D(X')$, such that $X' = x'$ is an α -partial explanation of ϕ relative to (\mathcal{C}, P) in M , for some given $\alpha \geq 0$, can be done in polynomial time.

6 Layered Causal Graphs

In general, it is not clear whether causal graphs with width-bounded decompositions can be efficiently recognized, and whether such decompositions can be efficiently computed. In this section, we discuss a large class of causal graphs, called layered causal graphs, that have natural nontrivial decompositions that can be computed in linear time.

Intuitively, such causal graphs $G_V(M)$ can be partitioned into layers S^0, \dots, S^k such that every arrow goes from a variable in some layer S^i to one in S^{i-1} (see Fig. 4).

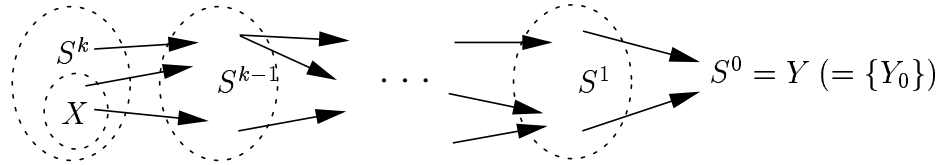


Figure 4: Path from X to Y in a Layered Causal Graph

More formally, let $M = (U, V, F)$ be a causal model, and let $X \subseteq V$, $Y = \{Y_0\} \subseteq V$, $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$. Then, $G_V(M)$ is *layered* w.r.t. X and Y iff an ordered partition (S^0, \dots, S^k) of V exists which satisfies (L1) and (L2):

- L1.** For every arrow $A \rightarrow B$ in $G_V(M)$, there exists some $i \in \{1, \dots, k\}$ such that $A \in S^i$ and $B \in S^{i-1}$.
- L2.** $Y = S^0$ and $S^k \supseteq X$.

A layered $G_V(M)$ is *width-bounded* for an integer $l \geq 0$ iff there exists an ordered partition (S^0, \dots, S^k) of V satisfying (L1) and (L2) such that $|S^i| \leq l$ for every $i \in \{1, \dots, k\}$.

The following result shows that layered causal graphs $G_V(M)$ have a natural nontrivial decomposition.

Proposition 6.1 *Let $M = (U, V, F)$, $X \subseteq V$, $Y = \{Y_0\} \subseteq V$, $x \in D(X)$, and $y \in D(Y)$. Let (S^0, \dots, S^k) be an ordered partition of V satisfying (L1) and (L2). Then, $((S^0, S^0), \dots, (S^k, S^k))$ is a decomposition of $G_V(M)$ with respect to $X = x$ and $Y = y$.*

The next result shows that recognizing layered and width-bounded causal graphs $G_X^Y(M)$ and computing their natural decomposition can be done in linear time.

Proposition 6.2 *Given $M = (U, V, F)$, $X \subseteq V$, $Y = \{Y_0\} \subseteq V$, $x \in D(X)$, and $y \in D(Y)$, deciding whether $G_X^Y(M) = (V', E')$ is layered and width-bounded for an integer $l \geq 0$, and computing the ordered partition (S^0, \dots, S^k) of V' with (L1) and (L2) can be done in linear time.*

By Proposition 6.1, all the results of Sections 5.1 and 5.2 on causes and explanations in decomposable causal graphs also apply to layered causal graphs as a special case.

It is easy to verify that the relations R^i of Section 5.1 can be simplified as follows for layered causal graphs: We have $R^0 = \{(D(Y) \setminus \{y\}, \{y\}, Y)\}$, and for each $i \in \{1, \dots, k\}$, the relation R^i is now given by:

$$\begin{aligned} R^i &= \{(\mathbf{p}, \mathbf{q}, F) \mid F \subseteq S^i, \mathbf{p}, \mathbf{q} \subseteq D(F), \\ &\quad \exists w \in D(S^i \setminus F) \exists (\mathbf{p}', \mathbf{q}', F') \in R^{i-1} \forall p, q \in D(F) : \\ &\quad p \in \mathbf{p} \text{ iff } F'_{pw}(u) \in \mathbf{p}', \\ &\quad q \in \mathbf{q} \text{ iff } F'_{[q(\hat{Z}(u))_w]}(u) \in \mathbf{q}' \text{ for all } \hat{Z} \subseteq F \setminus S^k\}. \end{aligned}$$

The following theorem is then an immediate corollary of Theorem 5.1 and Proposition 6.1.

Theorem 6.3 *Let $M = (U, V, F)$, $X \subseteq V$, $Y \in V$, $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$. Let $G_V(M)$ be layered with respect to X and Y , and let R^k be defined as above. Then, $X = x$ is a weak cause of $Y = y$ under u in M iff (α) $X(u) = x$ and $Y(u) = y$, and (β) some $(\mathbf{p}, \mathbf{q}, X) \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \in \mathbf{q}$.*

The next theorem shows that deciding whether $X = x$ is a weak (resp., an actual) cause of $Y = y$ under u in M is tractable, when V is domain-bounded, and $G_X^Y(M)$ is layered and width-bounded. This result is an immediate corollary of Theorem 5.2 and Proposition 6.1.

Theorem 6.4 *Let $M = (U, V, F)$, $X \subseteq V$, $Y \in V$, $x \in D(X)$, $y \in D(Y)$, and $u \in D(U)$. If V is domain-bounded, and $G_X^Y(M)$ is layered and width-bounded for a constant $l \geq 0$, then deciding whether $X = x$ is a weak (resp., an actual) cause of $Y = y$ under u in M can be done in polynomial time.*

Similarly, deciding whether $X = x$ is an explanation (resp., a partial explanation or an α -partial explanation) of $Y = y$ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , and computing the explanatory power of $X = x$ for $Y = y$ relative to (\mathcal{C}, P) in M are all tractable under the same restrictions. This is immediate by Theorems 5.4 and 5.5 and Proposition 6.1.

Moreover, similar tractability results hold for computing all weak (resp., actual) causes $X' = x'$ of $Y = y$ under u in M , and for computing all explanations (resp., partial explanations or α -partial explanations) $X' = x'$ of $Y = y$ relative to \mathcal{C} (resp., (\mathcal{C}, P)) in M , where X' is contained in some given $X \subseteq V$.

7 Summary and Outlook

In this paper, we presented new characterizations of weak causes for certain classes of decomposable causal models, in particular, for causal trees and the more general class of layered causal graphs. By means of

these characterizations, we then showed that under suitable restrictions deciding and computing causes and explanations is tractable for these classes. To our knowledge, these are the first explicit tractability results for the structural-model approach. We remark that these characterizations of weak causes can also be used to obtain further classes of instances for which weak causes and explanations have lower complexity than in the general case, which we did not address here. Furthermore, we have also discussed how irrelevant variables can be efficiently removed when deciding causes and explanations.

An interesting topic of further studies is to explore how to efficiently compute decompositions of causal graphs, and in particular whether there are other important classes of causal graphs different from causal trees and layered causal graphs in which width-bounded decompositions can be recognized and computed efficiently.

A Appendix: Proofs for Section 3

Proof of Theorem 3.2. (\Rightarrow) Assume that $X = x$ is a weak cause of ϕ under u . That is, (AC1) $X(u) = x$ and $\phi(u)$, and (AC2) some $W \subseteq V \setminus X$, $\bar{x} \in D(X)$, $w \in D(W)$ exist such that (a) $\neg\phi_{\bar{x}w}(u)$ and (b) $\phi_{xw\hat{z}}(u)$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$. In particular, $X'(u) = x'$ and $\phi(u)$. Moreover, as every directed path in $G(M)$ from X_0 to a variable in ϕ contains some $X_i \in X'$, it follows that (a) $\neg\phi_{\bar{x}'w'}(u)$ and (b) $\phi_{x'w'\hat{z}}(u)$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$, where $\bar{x}' = \bar{x}|X'$, $w' = wx_0$, and $x_0 = x(X_0)$. This shows that $X' = x'$ is a weak cause of ϕ under u .

(\Leftarrow) Assume that $X' = x'$ is a weak cause of ϕ under u . That is, (AC1) $X'(u) = x'$ and $\phi(u)$, and (AC2) some $W \subseteq V \setminus X'$, $\bar{x}' \in D(X')$, $w \in D(W)$ exist such that (a) $\neg\phi_{\bar{x}'w}(u)$, and (b) $\phi_{x'w\hat{z}}(u)$ for all $\hat{Z} \subseteq V \setminus (X' \cup W)$ and $\hat{z} = \hat{Z}(u)$. As $X_0(u) = x(X_0)$, it holds $X(u) = x$ and $\phi(u)$. Moreover, as every directed path in $G(M)$ from X_0 to a variable in ϕ contains some $X_i \in X'$, it follows that (a) $\neg\phi_{\bar{x}'x_0w'}(u)$ and (b) $\phi_{x'x_0w'\hat{z}}(u)$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$, where $w' = w|(W \setminus \{X_0\})$, and $x_0 = x(X_0)$. Hence, $X = x$ is a weak cause of ϕ under u . \square

Proof of Proposition 3.3. (a) We first compute the set A_ϕ of all ancestors in $G_V(M)$ of variables in ϕ , and then the set $X' = A_\phi \cap X$. Using standard methods and data structures, the former can be done in linear time in the number of arrows of $G_V(M)$, and the latter in linear time in the number of nodes of $G_V(M)$.

(b) We first compute the graph G' that is obtained from $G_V(M)$ by removing every arrow $X_k \rightarrow X_l$ such that $X_l \in X$. We then compute the set A'_ϕ of all ancestors in G' of variables in ϕ , and finally the set $X' = A'_\phi \cap X$. All this can be done in linear time using standard methods and data structures. \square

Lemma A.1 *Let $M = (U, V, F)$, $X' \subseteq X \subseteq V$, $x' \in D(X')$, $x \in D(X)$, and let ϕ be an event. Then, $M_{X'=x'}^\phi$ coincides with $(M_{X=x}^\phi)_{X'=x'}$.*

Proof. Let $M_{X=x}^\phi = (U, V', F')$, $M_{X'=x'}^\phi = (U, V'', F'')$, and $(M_{X=x}^\phi)_{X'=x'}^\phi = (U, V''', F''')$. We first show that $V'' = V'''$.

Let V''_α (resp., V'''_α) denote the variables included to V'' (resp., V''') by item $\alpha \in \{(i), (ii), (iii)\}$. Let, furthermore, $V(\phi)$ denote the set of variables in ϕ . Consider any variable $A \in V'''_{(ii)}$. Then A is on a directed path in $M_{X=x}^\phi$ from a variable in $X' \setminus \{A\}$ to a variable in ϕ . The same path also exists in M ; hence, A satisfies item (ii) for M w.r.t. $X' = x'$ and ϕ , and thus $A \in V''$. Conversely, suppose $A \in V''_{(ii)}$. Then, A is in M on a directed path from a variable in $X' \setminus \{A\}$ to a variable in ϕ . Since $X' \subseteq X$, this path also exists

in $M_{X=x}^\phi$, and thus A is included by item (ii) into V''' . Therefore, $V''_{(ii)} = V'''_{(iii)}$. Consider next any parent A of a variable $B \in V''_{(ii)}$ in $M_{X'=x}^\phi$. Then, $A \in V''$ holds, and A is also parent of B in $M_{X=x}^\phi$, thus also in $(M_{X=x}^\phi)^\phi_{X'=x'}$. Conversely, if A is a parent of $B \in V'''_{(iii)}$, then $A \in V'''$ and clearly A is a parent of B in $M_{X=x}^\phi$, thus also in M . Since $V(\phi) \subseteq V''$ and $V(\phi) \subseteq V'''$, it follows that $V''_{(iii)} \subseteq V'''$ and $V'''_{(iii)} \subseteq V''$. Finally, we have $V'''_{(i)} \subseteq X' \subseteq V''$ and $V''_{(i)} \subseteq X' \subseteq V'''$; the right inclusion follows from $X' \subseteq X$ and $X \subseteq V'$. Summarizing, we have $V'' = V'''_{(i)} \cup V''_{(ii)} \cup V'''_{(iii)} \subseteq V'''$ and $V''' = V'''_{(i)} \cup V'''_{(ii)} \cup V'''_{(iii)} \subseteq V''$; this implies $V'' = V'''$, as claimed.

It remains to show that $F''' = F''$. As already shown, $V''_{(ii)} = V'''_{(iii)}$; furthermore, note that item (ii) is monotonic in X , i.e., if A satisfies (ii) for X , then A satisfies (ii) for each superset of X . Thus, for each $A \in V''_{(ii)}$, we have $F''_A = F_A$ and $F'''_A = F'_A = F_A$. For each $A \in V'''_{(i)} \cup V'''_{(iii)}$, we have $F''_A = F'_A$ and $F'''_A = (F'_A)^* = F'_A$. Therefore, $F'' = F'''$. This proves that $M_{X'=x'}^\phi$ coincides with $(M_{X=x}^\phi)^\phi_{X'=x'}$. \square

Proof of Theorem 3.4. We first show that $X = x$ is a weak cause of ϕ under u in M iff $X = x$ is a weak cause of ϕ under u in $M_{X=x}^\phi$. Let X'' denote the set of all variables $A \in V' = R_{X=x}^\phi(M)$ that satisfy (i), and let V'' denote the set of all variables $A \in V'$ that satisfy (iii).

(\Rightarrow) Assume that $X = x$ is a weak cause of ϕ under u in M . That is, (AC1) $X(u) = x$ and $\phi(u)$ in M , and (AC2) some $W \subseteq V \setminus X$, $\bar{x} \in D(X)$, $w \in D(W)$ exist such that (a) $\neg\phi_{\bar{x}w}(u)$ and (b) $\phi_{xwz}(u)$ in M for all $\hat{Z} \subseteq V \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$. Thus, as $(X'' \cup V'')(u)$ in $M_{X=x}^\phi$ coincides with $(X'' \cup V'')(u)$ in M , it follows that $X(u) = x$ and $\phi(u)$ in $M_{X=x}^\phi$. Moreover, as $(V'')_{\bar{x}w}(u) = (V'')_w(u)$ and $(V'')_{xw}(u) = (V'')_w(u)$ in M , it then follows that (a) $\neg\phi_{\bar{x}w'}(u)$ and (b) $\phi_{xw'z}(u)$ in M for all $\hat{Z} \subseteq V \setminus (X \cup W')$ and $\hat{z} = \hat{Z}(u)$, where $W' = (W \cap V') \cup V''$, $w'|_{(W \cap V')} = w|_{(W \cap V')}$, and $w'|_{(V'' \setminus W)} = (V'' \setminus W)_w(u)$ in M . Thus, in particular, (a) $\neg\phi_{\bar{x}w'}(u)$ and (b) $\phi_{xw'z}(u)$ in M for all $\hat{Z} \subseteq V' \setminus (X \cup W')$ and $\hat{z} = \hat{Z}(u)$. In summary, this shows that $X = x$ is a weak cause of ϕ under u in $M_{X=x}^\phi$.

(\Leftarrow) Assume that $X = x$ is a weak cause of ϕ under u in $M_{X=x}^\phi$. That is, (AC1) $X(u) = x$ and $\phi(u)$ in $M_{X=x}^\phi$, and (AC2) some $W \subseteq V' \setminus X$, $\bar{x} \in D(X)$, $w \in D(W)$ exist such that (a) $\neg\phi_{\bar{x}w}(u)$ and (b) $\phi_{xwz}(u)$ in $M_{X=x}^\phi$ for all $\hat{Z} \subseteq V' \setminus (X \cup W)$ and $\hat{z} = \hat{Z}(u)$. Thus, as $(X'' \cup V'')(u)$ in M coincides with $(X'' \cup V'')(u)$ in $M_{X=x}^\phi$, it follows that $X(u) = x$ and $\phi(u)$ in M . Moreover, as $(V'')_{\bar{x}w}(u) = (V'')_w(u)$ and $(V'')_{xw}(u) = (V'')_w(u)$ in $M_{X=x}^\phi$, it then follows that (a) $\neg\phi_{\bar{x}w'}(u)$ and (b) $\phi_{xw'z}(u)$ in $M_{X=x}^\phi$ for all $\hat{Z} \subseteq V' \setminus (X \cup W')$ and $\hat{z} = \hat{Z}(u)$, where $W' = W \cup V''$, $w'|_W = w$, and $w'|_{(V'' \setminus W)} = (V'' \setminus W)_w(u)$ in $M_{X=x}^\phi$. It then follows that (a) $\neg\phi_{\bar{x}w'}(u)$ and (b) $\phi_{xw'z}(u)$ in M for all $\hat{Z} \subseteq V \setminus (X \cup W')$ and $\hat{z} = \hat{Z}(u)$. In summary, this shows that $X = x$ is a weak cause of ϕ under u in M .

Hence, $X' = x'$ is a weak cause of ϕ under u in M iff $X' = x'$ is a weak cause of ϕ under u in $M_{X'=x'}^\phi$. Moreover, $X' = x'$ is a weak cause of ϕ under u in $M_{X=x}^\phi$ iff $X' = x'$ is a weak cause of ϕ under u in $(M_{X=x}^\phi)^\phi_{X'=x'}$. By Lemma A.1, $M_{X'=x'}^\phi$ coincides with $(M_{X=x}^\phi)^\phi_{X'=x'}$, which proves the result. \square

Proof of Proposition 3.5. We first show that $G_{X=x}^\phi(M)$ can be computed in linear time. Its set of nodes V' is the set $R_{X=x}^\phi(M)$ of all variables $A \in V$ that satisfy (i), or (ii), or (iii). The set of all variables $A \in V$ that satisfy (ii) is given by $D_X \cap A_\phi$, where D_X denotes the set of all proper descendants of variables in X , and A_ϕ denotes the set of all variables in ϕ and of all ancestors of variables in ϕ . Thus, it can be computed in linear time, as D_X , A_ϕ , and $D_X \cap A_\phi$ can be all computed in linear time. The set of all variables $A \in V$ that satisfy (i) and (iii) is given by $(X \setminus (D_X \cap A_\phi)) \cup ((V_\phi \cup PA(D_X \cap A_\phi)) \setminus (X \cup (D_X \cap A_\phi)))$, where V_ϕ denotes the set of all variables $A \in V$ that occur in ϕ , and $PA(D_X \cap A_\phi)$ denotes the set of all parents

of variables in $D_X \cap A_\phi$. As argued above, $D_X \cap A_\phi$ can be computed in linear time. Moreover, V_ϕ and $PA(D_X \cap A_\phi)$ can also be computed in linear time. Hence, as all set operations can be done in linear time using standard methods and data structures, it follows that the set of all $A \in V$ with (i) and (iii) can be computed in linear time. In summary, $R_{X=x}^\phi(M)$ can be computed in linear time. This already shows that the directed graph $G_{X=x}^\phi(M)$ can be computed in linear time, as it is the restriction of $G(M)$ to $R_{X=x}^\phi(M)$.

We next show that $M_{X=x}^\phi = (U, V', F')$ can be computed in polynomial time. As argued above, V' and its partition into variables that satisfy (ii) and those that satisfy either (i) or (iii) can be computed in linear time. We next show that a representation of every function F_A^* , where A satisfies either (i) or (iii), can be computed in linear time. Every $F_A^*(U_A)$ is given as follows. The set of arguments U_A is given by the set of all ancestors $B \in U$ of A in $G(M)$. The function F_A^* itself can be represented by the restriction M_A of $M = (U, V, F)$ to all ancestors $B \in U$ of A in $G(M)$. Then, $F_A^*(u_A)$ for $u_A \in D(U_A)$ is given by $A(u_A)$ in M_A . Observe that by Proposition 2.1, every $F_A^*(u_A)$ is computable in polynomial time. Clearly, U_A and M_A can be computed in linear time. Hence, the set of all functions F_A^* , where A satisfies either (i) or (iii), can be computed in $O(|V|||M||)$ time. In summary, $M_{X=x}^\phi = (U, V', F')$ can be computed in $O(|V|||M||)$ time. \square

B Appendix: Proofs for Section 4

Proof of Proposition 4.1. Using standard methods and data structures, deciding whether there exists exactly one directed path in $G_X^Y(M)$ from every variable $A \in V' \setminus \{Y\}$ to Y , and deciding whether every $A \in V'$ has a bounded number of parents can both be done in linear time. \square

Proof of Theorem 4.2. Clearly, (α) coincides with **AC1**. Assume that (α) holds. We now show that (β) is equivalent to **AC2**:

AC2. Some set of variables $W \subseteq V \setminus X$ and some values $\bar{x} \in D(X)$, $w \in D(W)$ exist such that:

- (a) $Y_{\bar{x}w}(u) \neq y$,
- (b) $Y_{xw\hat{Z}(u)}(u) = y$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$.

Clearly, we can assume that $P^i \not\subseteq W$ for all $i \in \{0, \dots, k-1\}$, as otherwise $Y_{\bar{x}w}(u) = Y_{xw}(u)$. This shows that $W \subseteq W^1 \cup \dots \cup W^k$. As M is reduced for $X = x$ and $Y = y$ under u , it is then easy to see that we can enlarge every W to some $W' = W^1 \cup \dots \cup W^k$, by defining $w'(S) = S(u)$ for all $S \in W \setminus (W^1 \cup \dots \cup W^k)$. Hence, we can assume $\hat{Z} \subseteq \{P^0, \dots, P^{k-1}\}$, and thus also $\hat{Z} = \{P^i\}$ with $i \in \{1, \dots, k-1\}$. Hence, it is sufficient to prove that (β) is equivalent to the following condition **AC2'**:

AC2'. Some values $x' \in D(X)$ and $\bar{w} \in D(W^1 \cup \dots \cup W^k)$ exist such that:

- (a) $Y_{x'\bar{w}}(u) \neq y$,
- (b) $Y_{\hat{p}^j\bar{w}}(u) = y$ for all $j \in \{1, \dots, k\}$.

We now show that for every $i \in \{1, \dots, k\}$, it holds that $\mathbf{p} \in R^i$ iff there is some $\bar{w} \in D(W^1 \cup \dots \cup W^i)$ with:

- (i) $\mathbf{p} \in \mathbf{p}$ iff $Y_{\bar{p}\bar{w}}(u) \neq y$, for all $\bar{p} \in D(P^i)$,
- (ii) $Y_{\hat{p}^j\bar{w}}(u) = y$ for all $j \in \{1, \dots, i\}$.

This then shows that (β) is equivalent to **AC2'**. We give a proof by induction on $i \in \{1, \dots, k\}$:

Basis: Observe that $\mathbf{p} \in R^1$ iff there exists some $w \in D(W^1)$ such that:

- (i) $p \in \mathbf{p}$ iff $Y_{pw}(u) \neq y$, for all $p \in D(P^1)$,
- (ii) $Y_{\hat{p}^1 w}(u) = y$.

Induction: Observe that $\mathbf{p} \in R^i$ iff there exists some $w \in D(W^i)$ and some $\mathbf{p}' \in R^{i-1}$ such that:

- (i') $p \in \mathbf{p}$ iff $P_{pw}^{i-1}(u) \in \mathbf{p}'$, for all $p \in D(P^i)$,
- (ii') $P_{\hat{p}^i w}^{i-1}(u) \in D(P^{i-1}) \setminus \mathbf{p}'$.

By the induction hypothesis, $\mathbf{p}' \in R^{i-1}$ iff there exists some $\bar{w}' \in D(W^1 \cup \dots \cup W^{i-1})$ with:

- (i'') $p' \in \mathbf{p}'$ iff $Y_{p'\bar{w}'}(u) \neq y$, for all $p' \in D(P^{i-1})$,
- (ii'') $Y_{\hat{p}^j \bar{w}'}(u) = y$ for all $j \in \{1, \dots, i-1\}$.

It thus follows that $\mathbf{p} \in R^i$ iff there exists some $w \in D(W^i)$ and some $\bar{w}' \in D(W^1 \cup \dots \cup W^{i-1})$ with:

- (i) $p \in \mathbf{p}$ iff $Y_{pw\bar{w}'}(u) \neq y$, for all $p \in D(P^i)$,
- (ii) $Y_{\hat{p}^j w\bar{w}'}(u) = y$ for all $j \in \{1, \dots, i\}$.

Here, (i) follows from (i') and (i''), and (ii) follows from (ii'), (i''), and (ii''). \square

Proof of Theorem 4.3. By Theorem 3.4, $X = x$ is a weak cause of $Y = y$ under u in M iff $X = x$ is a weak cause of $Y = y$ under u in $M' = M_{X=x}^{Y=y}$. Clearly, $G_V(M') = G_X^Y(M') = G_X^Y(M)$. Thus, as $G_X^Y(M)$ is a directed tree with root Y , it follows by Theorem 4.2 that $X = x$ is a weak cause of $Y = y$ under u in M' iff (α) $X(u) = x$ and $Y(u) = y$ in M' , and (β) some $\mathbf{p} \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \notin \mathbf{p}$. It thus remains to show that checking whether (α) and (β) hold can be done in polynomial time. By Proposition 3.5, $M' = M_{X=x}^{Y=y}$ can be computed in polynomial time. Hence, by Proposition 2.1, deciding whether (α) holds can be done in polynomial time. By Proposition 3.5, $G_X^Y(M)$ can be computed in linear time. Thus, P^0, \dots, P^k and W^1, \dots, W^k can be computed in linear time. By Proposition 2.1, every \hat{p}^i , $i \in \{1, \dots, k\}$, can be computed in polynomial time. We then iteratively compute every R^i , $i \in \{0, \dots, k\}$. Clearly, R^0 can be computed in constant time, as V is domain-bounded. Observe then that the cardinality of each $D(W^i)$ is bounded by a constant, as V is domain-bounded and $G_X^Y(M)$ is bounded. Moreover, the size of each R^{i-1} and the cardinality of each $D(P^{i-1})$ are both bounded by a constant, as V is domain-bounded. By Proposition 2.1, the values $P_{\hat{p}^i w}^{i-1}(u)$ and $P_{pw}^{i-1}(u)$ can be computed in polynomial time. Hence, each R^i can be computed by a constant number of polynomial computations, and thus in polynomial time. Hence, R^k can be computed in polynomial time. Given R^k , deciding whether (β) holds can be done in constant time. In summary, computing R^k and deciding whether (β) holds can be done in polynomial time.

By Theorem 2.3, deciding whether $X = x$ is an actual cause of $Y = y$ under u in M can also be done in polynomial time, as actual causes coincide with weak causes where X is a singleton. \square

Proof of Theorem 4.4. Recall that $X = x$ is an explanation of $Y = y$ relative to \mathcal{C} iff (EX1) $Y(u) = y$ for every $u \in \mathcal{C}$, (EX2) $X = x$ is a weak cause of $Y = y$ under every $u \in \mathcal{C}$ such that $X(u) = x$, (EX3) X is minimal, and (EX4) $X(u) = x$ and $X(u') \neq x$ for some $u, u' \in \mathcal{C}$. By Proposition 2.2, checking whether

(EX1) and (EX4) hold can be done in polynomial time. Clearly, (EX3) always holds, as X is a singleton. By Theorem 4.3, deciding whether $X = x$ is a weak cause of $Y = y$ under some $u \in \mathcal{C}$ such that $X(u) = x$ can be done in polynomial time. Thus, by Proposition 2.1, deciding whether (EX2) holds can be done in polynomial time. In summary, deciding whether (EX1)–(EX4) hold can be done in polynomial time. \square

Proof of Theorem 4.5. We first compute the set $\mathcal{C}_{X=x}^{Y=y}$ of all $u \in \mathcal{C}$ such that either $X(u) \neq x$, or $X(u) = x$ and $X = x$ is a weak cause of $Y = y$ under u . By Proposition 2.1 and Theorem 4.3, this can be done in polynomial time. Given $\mathcal{C}_{X=x}^{Y=y}$ and assuming that $X = x$ is an explanation of $Y = y$ relative to $\mathcal{C}_{X=x}^{Y=y}$, the explanatory power $P(\mathcal{C}_{X=x}^{Y=y} | X = x)$ is computable in polynomial time by Proposition 2.1, if we assume as usual that P is computable in polynomial time. In summary, this already proves (c).

In order to check partial (resp., α -partial) explanations in (a) (resp., (b)), we additionally have to check that $X = x$ is actually an explanation of $Y = y$ relative to $\mathcal{C}_{X=x}^{Y=y}$, and that $P(\mathcal{C}_{X=x}^{Y=y} | X = x) > 0$ (resp., $P(\mathcal{C}_{X=x}^{Y=y} | X = x) \geq \alpha$). The former can be done in polynomial time by Theorem 4.4, while the latter can clearly be done in polynomial time. In summary, this proves (a) (resp., (b)). \square

C Appendix: Proofs for Section 5

Proof of Theorem 5.1. Clearly, (α) coincides with **AC1**. Suppose that (α) holds. We now show that (β) is equivalent to **AC2**:

AC2. Some set of variables $W \subseteq V \setminus X$ and some values $\bar{x} \in D(X)$ and $w \in D(W)$ exist such that:

- (a) $\neg \phi_{\bar{x}w}(u)$,
- (b) $\phi_{xw\hat{Z}(u)}(u)$ for all $\hat{Z} \subseteq V \setminus (X \cup W)$.

Observe that we can assume $W \cap S^k = S^k \setminus X$. Hence, it is sufficient to prove that (β) is equivalent to **AC2'**:

AC2'. Some $W \subseteq V$ and some $\bar{x} \in D(X)$ and $w \in D(W)$ exist such that $W \cap S^k = S^k \setminus X$ and

- (a) $\neg \phi_{\bar{x}w}(u)$,
- (b) $\phi_{xw\hat{Z}(u)}(u)$ for all $\hat{Z} \subseteq V \setminus (S^k \cup W)$.

We now show that for every $i \in \{0, \dots, k\}$, it holds that $(\mathbf{p}, \mathbf{q}, F) \in R^i$ iff some $\overline{W} \subseteq T^0 \cup \dots \cup T^i$ and some $\overline{w} \in D(\overline{W})$ exist with $\overline{W} \cap S^i = S^i \setminus F$ and

- (i) for every $p, q \in D(F)$:
 - (i.1) $p \in \mathbf{p}$ iff $\neg \phi_{p\overline{w}}(u)$,
 - (i.2) $q \in \mathbf{q}$ iff $\phi_{[q\hat{Z}(u)]\overline{w}}(u)$ for all $\hat{Z} \subseteq (T^0 \cup \dots \cup T^i) \setminus (S^k \cup \overline{W})$.

This then shows that (β) is equivalent to **AC2'**. We give a proof by induction on $i \in \{0, \dots, k\}$:

Basis: Observe that $(\mathbf{p}, \mathbf{q}, F) \in R^0$ iff some $W \subseteq T^0$ and $w \in D(W)$ exist such that $W \cap S^0 = S^0 \setminus F$ and

- (i) for every $p, q \in D(F)$:
 - (i.1) $p \in \mathbf{p}$ iff $\neg \phi_{pw}(u)$,

$$(i.2) \quad q \in \mathbf{q} \text{ iff } \phi_{[q\langle \hat{Z}(u) \rangle]_w}(u) \text{ for all } \hat{Z} \subseteq T^0 \setminus (S^k \cup W).$$

Induction: Observe that $(\mathbf{p}, \mathbf{q}, F) \in R^i$ iff there exists some $W \subseteq T^i$, $w \in D(W)$, and $(\mathbf{p}', \mathbf{q}', F') \in R^{i-1}$ such that $W \cap S^i = S^i \setminus F$ and

(i') for every $p, q \in D(F)$:

$$(i.1') \quad p \in \mathbf{p} \text{ iff } F'_{pw}(u) \in \mathbf{p}',$$

$$(i.2') \quad q \in \mathbf{q} \text{ iff } F'_{[q\langle \hat{Z}(u) \rangle]_w}(u) \in \mathbf{q}' \text{ for all } \hat{Z} \subseteq T^i \setminus (S^k \cup W).$$

By the induction hypothesis, $(\mathbf{p}', \mathbf{q}', F') \in R^{i-1}$ iff some $\overline{W}' \subseteq T^0 \cup \dots \cup T^{i-1}$ and $\overline{w}' \in D(\overline{W}')$ exist such that $\overline{W}' \cap S^{i-1} = S^{i-1} \setminus F'$ and

(i'') for every $p', q' \in D(F')$:

$$(i.1'') \quad p' \in \mathbf{p}' \text{ iff } \neg \phi_{p'\overline{w}'}(u),$$

$$(i.2'') \quad q' \in \mathbf{q}' \text{ iff } \phi_{[q'\langle \hat{Z}(u) \rangle]_{\overline{w}'}}(u) \text{ for all } \hat{Z} \subseteq (T^0 \cup \dots \cup T^{i-1}) \setminus (S^k \cup \overline{W}').$$

It thus follows that $(\mathbf{p}, \mathbf{q}, F) \in R^i$ iff some $\overline{W}' \subseteq T^0 \cup \dots \cup T^{i-1}$, $W \subseteq T^i$, $\overline{w}' \in D(\overline{W}')$, and $w \in D(W)$, exist such that $W \cap S^i = S^i \setminus F$ and

(i) for every $p, q \in D(F)$:

$$(i.1) \quad p \in \mathbf{p} \text{ iff } \neg \phi_{pw\overline{w}'}(u),$$

$$(i.2) \quad q \in \mathbf{q} \text{ iff } \phi_{[q\langle \hat{Z}(u) \rangle]_{w\overline{w}'}}(u) \text{ for all } \hat{Z} \subseteq (T^0 \cup \dots \cup T^i) \setminus (S^k \cup W \cup \overline{W}').$$

Here, (i.1) follows from (i.1') and (i.1''), and (i.2) follows from (i.2') and (i.2'').

Observe that the conditions (D5) and (D6) in the definition of a decomposition assure that setting some of the T^i -variables as W - or \hat{Z} -variables in (AC2) does not influence the values of the $S^i \setminus T^i$ -variables. \square

Proof of Theorem 5.2. By Theorem 3.4, $X = x$ is a weak cause of ϕ under u in M iff $X = x$ is a weak cause of ϕ under u in $M' = M_{X=x}^\phi$. By Theorem 5.1, the latter is equivalent to (α) $X(u) = x$ and $\phi(u)$ in M' , and (β) some $(\mathbf{p}, \mathbf{q}, X) \in R^k$ exists such that $\mathbf{p} \neq \emptyset$ and $x \in \mathbf{q}$, where R^k is computed using the decomposition $((T^0, S^0), \dots, (T^k, S^k))$ of $G_V(M') = G_{X=x}^\phi(M)$ with respect to $X = x$ and ϕ . By Proposition 3.5, $M' = M_{X=x}^\phi$ can be computed in polynomial time. Hence, by Proposition 2.2, deciding whether (α) holds can be done in polynomial time. As V is domain-bounded and $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded, it follows that R^0 can be computed in polynomial time, and that each R^i , $i \in \{1, \dots, k\}$, can be computed in polynomial time from R^{i-1} . Thus, R^k can be computed in polynomial time. As V is domain-bounded and $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded, it then follows that, given R^k , checking (β) can be done in constant time. In summary, deciding whether (β) holds can be done in polynomial time.

By Theorem 2.3, $X = x$ is an actual cause of ϕ under u in M iff (i) $X = x$ is a weak cause of ϕ under u in M and (ii) X is a singleton. As argued above, deciding whether (i) holds can be done in polynomial time, and deciding whether (ii) holds can clearly be done in constant time. \square

Proof of Theorem 5.3. Observe that $|X|$ is bounded by a constant, as $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded. Moreover, $X'(u) = x'$ for every weak (resp., actual) cause $X' = x'$ of ϕ under u in M . Thus, it

is sufficient to show that for each $X' \subseteq X$, deciding whether $(\star) X' = X'(u)$ is a weak (resp., an actual) cause of ϕ under u in M is possible in polynomial time. By Theorem 3.4, (\star) is equivalent to $X' = X'(u)$ being a weak (resp., an actual) cause of ϕ under u in $M_{X=x}^\phi$. Observe that $((T^0, S^0), \dots, (T^k, S^k))$ is also a decomposition of $G_{X=x}^\phi(M)$ w.r.t. $X' = x|X'$ and ϕ . Hence, by the proof of Theorem 5.2, deciding whether $X' = X'(u)$ is a weak (resp., an actual) cause of ϕ under u in M is possible in polynomial time. \square

Proof of Theorem 5.4. Recall that $X = x$ is an explanation of ϕ relative to \mathcal{C} iff (EX1) $\phi(u)$ for every $u \in \mathcal{C}$, (EX2) $X = x$ is a weak cause of ϕ under every $u \in \mathcal{C}$ such that $X(u) = x$, (EX3) X is minimal, that is, for every $X' \subset X$, some $u \in \mathcal{C}$ exists such that $X'(u) = x|X'$ and $X' = x|X'$ is not a weak cause of ϕ under u and (EX4) $X(u) = x$ and $X(u') \neq x$ for some $u, u' \in \mathcal{C}$. By Proposition 2.2, checking whether (EX1) and (EX4) hold can be done in polynomial time. By Theorem 5.2, deciding whether $X = x$ is a weak cause of ϕ under some $u \in \mathcal{C}$ such that $X(u) = x$ can be done in polynomial time. Thus, by Proposition 2.1, deciding whether (EX2) holds can be done in polynomial time. As for (EX3), by Theorem 3.4, $X' = x|X'$ is not a weak cause of ϕ under u in M iff $X' = x|X'$ is not a weak cause of ϕ under u in $M' = M_{X=x}^\phi$. Moreover, $((T^0, S^0), \dots, (T^k, S^k))$ is a decomposition of $G_V(M') = G_{X=x}^\phi(M)$ w.r.t. $X' = x|X'$ and ϕ . As $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded, $|X|$ is bounded by a constant. By Proposition 2.1 and the proof of Theorem 5.2, it then follows that deciding whether (EX3) holds can be done in polynomial time. In summary, deciding whether (EX1)–(EX4) hold can be done in polynomial time. \square

Proof of Theorem 5.5. The proof is similar to the proof of Theorem 4.5, using Theorems 5.2 and 5.4 instead of Theorems 4.3 and 4.4, respectively, and writing ϕ instead of $Y = y$. \square

Proof of Theorem 5.6. Observe that the set of all $X' = x'$ such that $X' \subseteq X$ and $x' \in D(X')$ is bounded by a constant, as $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded and V is domain-bounded. Thus, it is sufficient to show that for each $X' \subseteq X$ and $x' \in D(X')$, deciding whether $X' = x'$ is an explanation of ϕ relative to \mathcal{C} in M is possible in polynomial time. This can be done in a similar way as the proof of Theorem 5.4, using the result from the proof of Theorem 5.3 that deciding whether $X' = x'$ is a weak cause of ϕ under u in M , where $X' \subseteq X$ and $x' \in D(X')$, is possible in polynomial time. \square

Proof of Theorem 5.7. As $((T^0, S^0), \dots, (T^k, S^k))$ is width-bounded and V is domain-bounded, it is sufficient to show that for each $X' \subseteq X$ and $x' \in D(X')$, deciding whether $X' = x'$ is a partial (resp., an α -partial) explanation of ϕ relative to (\mathcal{C}, P) in M is possible in polynomial time. This can be done in a similar way as the proof of Theorem 4.5, where we use the results that, for $X' \subseteq X$ and $x' \in D(X')$, deciding whether $X' = x'$ is a weak cause of ϕ under u in M , and deciding whether $X' = x'$ is an explanation of ϕ relative to some \mathcal{C} in M can be both done in polynomial time (see the proofs of Theorems 5.3 and 5.6, respectively) instead of Theorems 4.3 and 4.4, respectively. \square

D Appendix: Proofs for Section 6

Proof of Proposition 6.1. Assume that (S^0, \dots, S^k) is an ordered partition of V that satisfies (L1) and (L2) of a layered $G_V(M)$ w.r.t. X and Y . We now show that $((T^0, S^0), \dots, (T^k, S^k))$ with $T^0 = S^0, \dots, T^k = S^k$ is a decomposition of $G_V(M)$ w.r.t. $X = x$ and $Y = y$, that is, (D1)–(D6) hold. Trivially, (D1) and (D2) hold. Moreover, (L2) implies (D3), and (L1) implies (D4)–(D6). \square

Proof of Proposition 6.2. If there is no directed path in $G(M)$ from a node in X to Y , then the unique ordered partition of V' with (L1) and (L2) is given by $(S^0, S^1) = (Y, X)$. That is, in this case, any possible layering of $G_X^Y(M)$ is trivial, and $G_X^Y(M)$ is width-bounded iff $|X|$ is bounded.

If there is a directed path from a node in X to Y , then the ordered partition (S^0, \dots, S^k) of V' with (L1) and (L2) is also unique, if it exists. We can then compute $(S^0, \dots, S^k) = (T^{-k}, \dots, T^0)$ as follows:

1. Compute $G_X^Y(M)$, and set $\Delta := X$ and $i := 0$.
2. Then,
 - (a) set T^i to the union of Δ and the set of all parents of a child of Δ ;
 - (b) set Δ to the set of all children of Δ ;
 - (c) set $i := i - 1$.
3. Repeat 2, until $\Delta = \emptyset$ holds.

Then, $G_X^Y(M)$ is layered iff the computed T^i 's are pairwise disjoint, and $G_X^Y(M)$ is width-bounded iff every $|T^i|$ is bounded.

Clearly, deciding whether there is no directed path in $G(M)$ from a node in X to Y can be done in linear time. Then, the described procedure for deciding whether $G_X^Y(M)$ is layered and width-bounded, and for computing the (S^0, \dots, S^k) for layered $G_X^Y(M)$ can be done in linear time; note that by Proposition 3.5, we can compute $G_X^Y(M)$ in linear time. \square

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